# **Fault-Tolerant Graphs for Tori**

# Toshinori Yamada, Shuichi Ueno

Department of Electrical and Electronic Engineering, Tokyo Institute of Technology, Tokyo 152, Japan

Received 3 September 1996; accepted 30 April 1998

**Abstract:** Motivated by the design of fault-tolerant multiprocessor interconnection networks, this paper considers the following problem: Given a positive integer *t* and a graph *H*, construct a graph *G* from *H* by adding a minimum number  $\Delta(t, H)$  of edges such that even after deleting any *t* edges from *G* the remaining graph contains *H* as a subgraph. We estimate  $\Delta(t, H)$  for the torus, which is well known as a very important interconnection network for multiprocessor systems. © 1998 John Wiley & Sons, Inc. Networks 32: 181–188, 1998

# 1. INTRODUCTION

Motivated by the design of fault-tolerant multiprocessor interconnection networks, this paper considers the following problem: Given a positive integer t and a graph H, construct a graph G from H by adding a minimum number of edges such that even after deleting any t edges from G the remaining graph contains H as a subgraph. We construct such graphs by adding a small number of edges for the torus, which is well known as an important interconnection network for multiprocessor systems. Many related results can be found in the literature.

Let *G* be a graph and let V(G) and E(G) denote the vertex set and the edge set of *G*, respectively. For any *S*  $\subseteq E(G)$ , *G*\*S* is the graph obtained from *G* by deleting the edges of *S*.

Let *t* be a positive integer and let *H* be a graph. A graph *G* is called a *t*-EFT (*t*-edge-fault-tolerant) graph for *H* if  $G \setminus S$  contains *H* as a subgraph for every  $S \subseteq E(G)$ , with  $|S| \leq t$ . Let  $\Delta(t, H)$  denote the minimum number of edges added to *H* to construct a *t*-EFT graph for *H* with |V(H)| vertices.

Let  $D_n(k)$  denote the *n*-dimensional  $k \times k \times \cdots \times k$  torus.  $D_n(2)$  is known as the *n*-cube. The following results can be found in the literature:

(I) [18, 21, 26] 
$$\Delta(1, D_n(2)) = 2^{n-1}$$
.  
(II) [28]  $\Delta(t, D_n(2)) = O\left(t2^{n-1}\log_2\left(\frac{n}{t-1} + c\right)\right)$ ,

if  $t \ge 2$ , where  $c = 1 + \log_2 e$ . (III) [10]  $\Delta(t, D_n(p)) \le tp^n$ , if  $t \le p + 1 - n$  and p is a prime.

In this paper, we generalize the results above and show the following:

- 1.  $\Delta(1, D_n(k)) \le k^n$ , if  $k \ge 3$ .
- 2.  $\Delta(t, D_n(p^l)) \le (t-1)p^{ln} \{2 \log_p(n/(t-1) + c_p) + c_p\} + p^{ln}$ , if  $t \ge 2$  and  $p^l \ge 3$ ,
- 3.  $\Delta(t, D_n(p^l)) \le tp^{ln}$ , if  $t \le p + 1 n$  and  $p^l \ge 3$ ,

where p is a prime, k and l are positive integers, and  $c_p = 1 + \log_p e$ .

The notion of the matric graph was introduced in [28] as a natural generalization of the hypercube. The upper

© 1998 John Wiley & Sons, Inc.

CCC 0028-3045/98/030181-08

Correspondence to: S. Ueno; e-mail: ueno@ss.titech.ac.jp

bound for  $\Delta(t, D_n(2))$  in (II) was proved by constructing matric graphs associated with basis matrices of errorcorrecting binary linear codes. The essentially same construction was proposed in [13] independent of [28]. Here, we further extend the notion of the matric graph to be a generalization of the torus. The upper bounds for  $\Delta(t, D_n(k))$  in (1), (2), and (3) are proved by constructing *t*-EFT matric graphs for  $D_n(k)$  associated with basis matrices of error-correcting linear codes. It is interesting that the *t*-EFT matric graphs for  $D_n(k)$  proposed here have a strong fault-tolerance property. We show that even after deleting  $tk^n$  edges of *t* different dimensions from a *t*-EFT matric graph for  $D_n(k)$  the remaining graph still contains  $D_n(k)$  as a subgraph.

# 2. MATRIC GRAPHS AND TORI

Let  $k \ge 2$  be an integer and let  $[k] = \{0, 1, ..., k - 1\}$ . The *n*-dimensional  $k \times k \times \cdots \times k$  torus, denoted by  $D_n(k)$ , is defined as follows:  $V(D_n(k)) = [k]^n$ ;  $E(D_n(k)) = \{(u, v) | \exists i v_i = (u_i \pm 1) \mod k, \forall j \neq i u_j = v_j\}$ , where  $u = (u_1, u_2, ..., u_n)$  and  $v = (v_1, v_2, ..., v_n)$ .  $D_n(2)$  is called the *n*-cube (*n*-dimensional cube). It is easy to see that  $D_n(k)$  is connected and  $|V(D_n(k))| = k^n$ . If  $k \ge 3$ ,  $|E(D_n(k))| = nk^n$ , since the degree of each vertex of  $D_n(k)$  is 2n. Since the degree of each vertex of  $D_n(2)$  is n,  $|E(D_n(2))| = 2^{n-1}$ . An edge (u, v) is called an *i*-edge (*i*-dimensional edge) if  $v_i = (u_i \pm 1) \mod k$  and  $u_j = v_j$  for any  $j \neq i$ .

Let *M* be an  $m \times n$  matrix over [k], which is an *m* by *n* matrix consisting of 0's, 1's, ..., and (k - 1)'s. Let  $\mathbf{r}_i$  and  $\mathbf{c}_j$  denote the *i*-th row and the *j*-th column of *M*, respectively. Define  $R(M) = {\mathbf{r}_1, \mathbf{r}_2, ..., \mathbf{r}_m}$  and  $C(M) = {\mathbf{c}_1, \mathbf{c}_2, ..., \mathbf{c}_n}$ .

The matric graph associated with an  $m \times n$  matrix M over [k], denoted by  $G_k(M)$ , is defined as follows:  $V(G_k(M)) = [k]^n$ ; any two vertices **u** and **v** are joined by  $|\{r \in R(M) | u + v = r\}|$  parallel edges if k = 2, and  $|\{r \in R(M) | u = v + r\}| + |\{r \in R(M) | v = u\}|$ + r | parallel edges otherwise, where vector addition is performed modulo k. An edge (u, v) of  $G_k(M)$  is said to be of dimension  $r(\in R(M))$  if u = v + r or v = u+ r. For  $r \in R(M)$ ,  $E_k(r)$  is the set of all edges of dimension **r** of  $G_k(M)$ . For  $S \subseteq R(M)$ ,  $E_k(S) = \bigcup_{\mathbf{r} \in S} \mathbb{C}$  $E_k(\mathbf{r})$ . If  $k \ge 3$ , each vertex of  $G_k(M)$  is incident to two edges of dimension *r* for any  $r \in R(M)$ , and so the degree of each vertex of  $G_k(M)$  is 2m. Thus,  $|E(G_k(M))|$  $= mk^n$  if  $k \ge 3$ . Since each vertex of  $G_2(M)$  is incident to an edge of dimension r for any  $r \in R(M)$ , the degree of each vertex of  $G_2(M)$  is m. Thus,  $|E(G_2(M))| = m2^{n-1}$ . For  $S \subseteq R(M)$ , let  $M \setminus S$  denote the matrix obtained from a matrix M by deleting the rows of S. It is easy to see the following two lemmas from the definition of the matric graph:

**Lemma 1.** If  $I_n$  is the  $n \times n$  unit matrix over [k], then  $G_k(I_n)$  is isomorphic to  $D_n(k)$ . Moreover, the edges of dimension  $\mathbf{r}_i$  of  $G_k(I_n)$  correspond to the *i*-edges of  $D_n(k)$ .

**Lemma 2.**  $G_k(M \setminus S)$  is isomorphic to  $G_k(M) \setminus E_k(S)$ .

**Lemma 3.** If a matrix M over [k] has a column consisting of 0's, then  $G_k(M)$  is disconnected.

*Proof.* Assume that  $c_i = 0$  for some *i*. Define  $V_j = \{v \in V(G_p(M)) | v_i = j\}$  for any  $j \in [k]$ , where  $v = (v_1, \ldots, v_n)$ .  $(V_0, \ldots, V_{k-1})$  is a partition of  $V(G_k(M))$ . Since  $c_i = 0$ , there exists no edge joining a vertex in  $V_0$  and a vertex in  $V(G_k(M)) - V_0 = V_1 \cup \cdots \cup V_{k-1}$ . Hence,  $G_k(M)$  is disconnected.

Let p be a prime. It should be noted that the addition and multiplication modulo p corresponds to the addition and multiplication over GF(p), respectively.

**Lemma 4.** If M' is a matrix obtained from an  $m \times n$  matrix M over GF(p) by elementary column operations, then  $G_p(M')$  is isomorphic to  $G_p(M)$ .

*Proof.* Let  $\lambda \neq 0$ ,  $\lambda \in [p]$ . It suffices to prove the following: (i) If M' is a matrix obtained from M by multiplying column  $c_{j_1}$  by  $\lambda$ ,  $1 \leq j_1 \leq n$ , then  $G_p(M')$  is isomorphic to  $G_p(M)$ ; (ii) if M' is a matrix obtained from M by exchanging column  $c_{j_1}$  with column  $c_{j_2}$ ,  $1 \leq j_1 < j_2 \leq n$ , then  $G_p(M')$  is isomorphic to  $G_p(M)$ ; and (iii) if M' is a matrix obtained from M by adding column  $\lambda c_{j_2}$  to  $c_{j_1}, j_1 \neq j_2$ , then  $G_p(M')$  is isomorphic to  $G_p(M)$ .

*Proof of (i).* Let  $\varphi_1$  be a mapping from  $V(G_p(M))$  to  $V(G_p(M'))$  such that

$$\varphi_1(\mathbf{v}) = (v_1, \ldots, \lambda v_{j_1}, \ldots, v_n)$$

If  $\varphi_1(\boldsymbol{u}) = \varphi_1(\boldsymbol{v})$ , then  $u_j = v_j$   $(j \neq j_1)$  and  $\lambda u_{j_1} = \lambda v_{j_1}$ . Thus,  $u_{j_1} = v_{j_1}$ , and so  $\boldsymbol{u} = \boldsymbol{v}$ . Thus,  $\varphi_1$  is a one-to-one mapping. Since  $|V(G_p(M))| = |V(G_p(M'))| = p^n, \varphi_1$  is a bijection.

Let  $\mathbf{r}'_i$  denote the *i*-th row of M'. If  $\mathbf{r}_i = (x_1, \ldots, x_n)$ , then  $\mathbf{r}'_i = (x_1, \ldots, \lambda x_{j_1}, \ldots, x_n)$ . Since  $\mathbf{u} + \mathbf{r}_i = \mathbf{v}$  if and only if  $\varphi_1(\mathbf{u}) + \mathbf{r}'_i = \varphi_1(\mathbf{v})$ , and  $\mathbf{v} + \mathbf{r}_i = \mathbf{u}$  if and only if  $\varphi_1(\mathbf{v}) + \mathbf{r}'_i = \varphi_1(\mathbf{u})$ , we conclude that  $(\mathbf{u}, \mathbf{v})$  $\in E(G_p(M))$  if and only if  $(\varphi_1(\mathbf{u}), \varphi_1(\mathbf{v})) \in E(G_p(M'))$ . Thus,  $G_p(M)$  is isomorphic to  $G_p(M')$ .

*Proof of (ii).* Let  $\varphi_2$  be a mapping from  $V(G_p(M))$  to  $V(G_p(M'))$  such that

$$\varphi_2(\mathbf{v}) = (v_1, \ldots, v_{j_2}, \ldots, v_{j_1}, \ldots, v_n).$$

If  $\varphi_2(\boldsymbol{u}) = \varphi_2(\boldsymbol{v})$ , then  $u_j = v_j$   $(1 \le j \le n)$ , and so  $\boldsymbol{u} = \boldsymbol{v}$ . Thus,  $\varphi_2$  is a bijection.

If  $\mathbf{r}_i = (x_1, \ldots, x_n)$ , then  $\mathbf{r}'_i = (x_1, \ldots, x_{j_2}, \ldots, x_{j_1}, \ldots, x_n)$ . Since  $\mathbf{u} + \mathbf{r}_i = \mathbf{v}$  if and only if  $\varphi_2(\mathbf{u}) + \mathbf{r}'_i = \varphi_2(\mathbf{v})$ , and  $\mathbf{v} + \mathbf{r}_i = \mathbf{u}$  if and only if  $\varphi_2(\mathbf{v}) + \mathbf{r}'_i = \varphi_2(\mathbf{u})$ , we conclude that  $(\mathbf{u}, \mathbf{v}) \in E(G_p(M))$  if and only if  $(\varphi_2(\mathbf{u}), \varphi_2(\mathbf{v})) \in E(G_p(M'))$ . Thus,  $G_p(M)$  is isomorphic to  $G_p(M')$ .

*Proof of (iii).* Let  $\varphi_3$  be a mapping from  $V(G_p(M))$  to  $V(G_p(M'))$  such that

$$\varphi_3(\mathbf{v}) = (v_1, \ldots, v_{j_1} + \lambda v_{j_2}, \ldots, v_n).$$

If  $\varphi_3(\boldsymbol{u}) = \varphi_3(\boldsymbol{v})$ , then  $u_j = v_j$   $(j \neq j_1)$  and  $u_{j_1} + \lambda u_{j_2}$ =  $v_{j_1} + \lambda v_{j_2}$ . Since  $u_{j_2} = v_{j_2}$ , we obtain  $u_{j_1} = v_{j_1}$ , and so  $\boldsymbol{u} = \boldsymbol{v}$ . Thus,  $\varphi_3$  is a bijection.

If  $\mathbf{r}_i = (x_1, \ldots, x_n)$ , then  $\mathbf{r}'_i = (x_1, \ldots, x_{j_1} + \lambda x_{j_2}, \ldots, x_n)$ . Since  $\mathbf{u} + \mathbf{r}_i = \mathbf{v}$  if and only if  $\varphi_3(\mathbf{u}) + \mathbf{r}'_i = \varphi_3(\mathbf{v})$ , and  $\mathbf{v} + \mathbf{r}_i = \mathbf{u}$  if and only if  $\varphi_3(\mathbf{v}) + \mathbf{r}'_i = \varphi_3(\mathbf{u})$ , we conclude that  $(\mathbf{u}, \mathbf{v}) \in E(G_p(M))$  if and only if  $(\varphi_3(\mathbf{u}), \varphi_3(\mathbf{v})) \in E(G_p(M'))$ . Thus,  $G_p(M)$  is isomorphic to  $G_p(M')$ .

**Theorem 1.** For any  $n \times n$  matrix M over GF(p),  $G_p(M)$  is isomorphic to  $D_n(p)$  if and only if M is non-singular.

*Proof.* If *M* is nonsingular, then we can obtain the unit matrix  $I_n$  from *M* by elementary column operations. Thus,  $G_p(M)$  is isomorphic to  $D_n(p)$  by Lemmas 1 and 4.

If *M* is singular, then we can obtain a matrix with a column consisting of 0's from *M* by elementary column operations. Thus,  $G_p(M)$  is not isomorphic to  $D_n(p)$ , since  $G_p(M)$  is disconnected by Lemmas 3 and 4.

**Corollary 1.** For any  $m \times n$  matrix M over GF(p),  $G_p(M)$  contains  $D_n(p)$  as a subgraph if and only if the rank of M is n.

*Proof.* If the rank of M is n, then there exists  $S \subset R(M)$  with |S| = m - n such that  $M \setminus S$  is an  $n \times n$  nonsingular matrix over GF(p). Thus,  $G_p(M \setminus S)$  is isomorphic to  $D_n(p)$  by Theorem 1, and so  $G_p(M)$  contains  $D_n(p)$  as a subgraph by Lemma 2.

If the rank of *M* is less than *n*, then we can obtain a matrix with a column consisting of 0's from *M* by elementary column operations. Thus,  $G_p(M)$  is disconnected by Lemmas 3 and 4. Since  $|V(G_p(M))| = |V(D_n(p))| = p^n$ , we conclude that  $G_p(M)$  does not contain  $D_n(p)$  as a subgraph.

For any vector  $\mathbf{v} = (v_1, v_2, \dots, v_n)$  consisting of integers,  $\mathbf{v} \mod k$  is defined as  $(v_1 \mod k, v_2 \mod k, \dots, v_n \mod k)$ . An  $m \times n$  matrix M over [k] is said to have property  $\mathcal{I}_k$  if the following condition is satisfied: If  $(a_1\mathbf{r}_1 + a_2\mathbf{r}_2)$ 

+  $\cdots$  +  $a_m r_m$ )mod k = 0 holds for  $a_1, a_2, \ldots, a_m \in [k]$ , then  $a_1 = a_2 = \cdots = a_m = 0$ . It should be noted that  $\mathcal{I}_k$  is a generalization of the linear independency. The following theorem does hold even if k is not a prime:

**Theorem 2.** For any  $n \times n$  matrix M over [k],  $G_k(M)$  is isomorphic to  $D_n(k)$  if and only if M has property  $\mathcal{G}_k$ .

*Proof.* In what follows, we denote  $G_k(M)$  and  $D_n(k)$  by G and D, respectively.

Assume that *M* has property  $\mathcal{J}_k$ . Let  $\phi$  be a mapping from V(D) to V(G) such that  $\phi(\mathbf{v}) = (v_1\mathbf{r}_1 + v_2\mathbf{r}_2 + \cdots + v_n\mathbf{r}_n) \mod k$ , where  $\mathbf{v} = (v_1, v_2, \ldots, v_n)$ . If  $\phi(\mathbf{u}) = \phi(\mathbf{v})$ , then

$$\phi(\boldsymbol{u}) - \phi(\boldsymbol{v}) = (u_1\boldsymbol{r}_1 + u_2\boldsymbol{r}_2 + \cdots + u_n\boldsymbol{r}_n) \mod k$$
$$- (v_1\boldsymbol{r}_1 + v_2\boldsymbol{r}_2 + \cdots + v_n\boldsymbol{r}_n) \mod k = \boldsymbol{0},$$

that is,

$$((u_1 - v_1)\mathbf{r}_1 + (u_2 - v_2)\mathbf{r}_2 + \cdots + (u_n - v_n)\mathbf{r}_n) \mod k = \mathbf{0}.$$
 (1)

For any i = 1, 2, ..., n, let  $a_i = u_i - v_i$  if  $u_i \ge v_i$ , and  $a_i = u_i - v_i + k$  otherwise. It should be noted that  $a_i \in [k]$ , and if  $a_i = 0$ , then  $u_i = v_i$ . By Eq. (1), we have

$$(a_1\mathbf{r}_1 + a_2\mathbf{r}_2 + \cdots + a_n\mathbf{r}_n) \mod k = \mathbf{0},$$

and so  $a_i = 0$  for any *i* since *M* has property  $\mathcal{I}_k$ . Thus,  $u_i = v_i$  for any i = 1, 2, ..., n, and  $\phi$  is a one-to-one mapping. Since  $|V(D)| = |V(G)| = k^n$ ,  $\phi$  is a bijection.

Now we prove that  $\phi$  is an isomorphism between *G* and *D*. It is sufficient to show that  $(u, v) \in E(D)$  if and only if  $(\phi(u), \phi(v)) \in E(G)$ . If  $(u, v) \in E(D)$ , then  $v = (u + e_i) \mod k$  or  $u = (v + e_i) \mod k$  for some *i*, where  $e_i$  is the *i*-dimensional unit vector. Thus,  $\phi(v)$  $= (\phi(u) + r_i) \mod k$  or  $\phi(u) = (\phi(v) + r_i) \mod k$ , and so  $(\phi(u), \phi(v)) \in E(G)$ . If  $(\phi(u), \phi(v)) \in E(G)$ , then  $\phi(v) = (\phi(u) + r_i) \mod k$  or  $\phi(u) = (\phi(v) + r_i) \mod k$ for some *i*. Thus,  $\phi(v) = (\phi(u) + \phi(e_i)) \mod k$  or  $\phi(u) = (\phi(v) + \phi(e_i)) \mod k$ , that is,  $\phi(v) = \phi((u + e_i) \mod k)$  or  $\phi(u) = \phi((v + e_i) \mod k)$ . Since  $\phi$  is a bijection from  $[k]^n$  to  $[k]^n$ ,  $v = (u + e_i) \mod k$  or u $= (v + e_i) \mod k$ , and so  $(u, v) \in E(D)$ . Thus, (u, v) $\in E(D)$  if and only if  $(\phi(u), \phi(v)) \in E(G)$ . Hence,  $\phi$ is an isomorphism and *G* is isomorphic to *D*.

Assume that *M* does not have property  $\mathcal{I}_k$ . Since  $\phi$  is not a one-to-one mapping and  $|V(D)| = |V(G)| = k^n$ , there exists some  $v \notin \phi(V(D))$ . If *G* is connected, then there exists a path *P* from **0** to *v*. Let  $P = v_0 v_1 \cdots v_d$ , where  $v_0 = \mathbf{0}$  and  $v_d = v$ . Then, for any  $j = 1, 2, \ldots, d$ , there exists some *i* such that  $v_j = (v_{j-1} + r_i) \mod k$  or  $v_j = (v_{j-1} - r_i) \mod k$ . Since  $v = \sum_{j=1}^d (v_j - v_{j-1})$ , *v* can be expressed as  $\mathbf{v} = (a_1\mathbf{r}_1 + a_2\mathbf{r}_2 + \cdots + a_n\mathbf{r}_n) \mod k$ for some integers  $a_1, a_2, \ldots$ , and  $a_n$ . Thus, we conclude that for  $\mathbf{a} = (a_1, a_2, \ldots, a_n) \mod k \in V(D)$ ,  $\phi(\mathbf{a}) = \mathbf{v}$ , a contradiction. Thus, *G* is disconnected, and, hence, *G* is not isomorphic to *D*.

# 3. *t*-DFT MATRIC GRAPHS FOR $D_n(k)$

Let *M* be an  $m \times n$  matrix over [k].  $G_k(M)$  is called a *t*-DFT (*t*-dimension-fault-tolerant) matric graph for  $D_n(k)$  if  $G_k(M) \setminus E_k(S)$  (= $G_k(M \setminus S)$ ) contains  $D_n(k)$  as a subgraph for any  $S \subseteq R(M)$ , with  $|S| \leq t$ . Define  $\Lambda(t, n, k) = \min\{|E(G_k(M))| - |E(D_n(k))||G_k(M) : t$ -DFT matric graph for  $D_n(k)\}$ . Since the degree of each vertex of  $G_k(M)$  is *m* if k = 2 and 2*m* otherwise, the problem of finding  $\Lambda(t, n, k)$  is equivalent to the one of finding the minimum number of rows of a matrix *M* such that  $G_k(M)$  is a *t*-DFT matric graph for  $D_n(k)$ .

#### 3.1. The Case When k is a Prime p

The following theorem characterizes the *t*-DFT matric graph for  $D_n(p)$ . Recall that the Hamming weight of a vector  $\mathbf{v}$  over GF(p) is the number of nonzero elements of  $\mathbf{v}$ :

**Theorem 3.** For any  $m \times n$  matrix M over GF(p),  $G_p(M)$  is a t-DFT matric graph for  $D_n(p)$  if and only if the Hamming weight of any linear combination of C(M) is at least t + 1.

*Proof.* Assume that there exists a linear combination of C(M) such that its Hamming weight is at most t. Then, we can obtain a matrix M' with a column (say, the j-th column) of Hamming weight at most t from M by some elementary column operations. Let S be the set of rows of M corresponding to the rows S' of M' whose j-th elements are nonzeros. Since the j-th column of  $M' \setminus S'$  consists of 0's,  $G_p(M' \setminus S')$  does not contain  $D_n(p)$  as a subgraph by Corollary 1. Since  $G_p(M \setminus S)$  is isomorphic to  $G_p(M' \setminus S')$  by Lemma 4 and  $|S| = |S'| \leq t$ , we conclude that  $G_p(M)$  is not a t-DFT matric graph for  $D_n(p)$ .

Conversely, assume that the Hamming weight of any linear combination of C(M) is at least t + 1. Then,  $C(M \setminus S)$  is linearly independent for any  $S \subseteq R(M)$  with  $|S| \leq t$ , and the rank of  $M \setminus S$  is n. Thus,  $G_p(M \setminus S)$  contains  $D_n(p)$  as a subgraph by Corollary 1, and we conclude that  $G_p(M)$  is a *t*-DFT matric graph for  $D_n(p)$ .

Theorem 3 means that for any  $m \times n$  matrix M over GF(p),  $G_p(M)$  is a *t*-DFT matric graph for  $D_n(p)$  if and only if C(M) is a basis of an *n*-dimensional vector space over GF(p) such that the Hamming weight of any non-

zero vector is at least t + 1. Thus, *t*-DFT matric graphs can be characterized by error-correcting linear codes. Recall that the minimum distance for a linear code *C* is min{ $d_H(u, v) | u \neq v, u, v \in C$ }, where  $d_H(u, v)$  is the Hamming distance between u and v, that is, the number of positions in which they differ.

**Theorem 4.** For any  $m \times n$  matrix M over GF(p),  $G_p(M)$  is a t-DFT matric graph for  $D_n(p)$  if and only if C(M) is a basis of an n-dimensional linear code over GF(p) with minimum distance at least t + 1.

The following bounds for the existence of *n*-dimensional linear codes over GF(p) with minimum distance at least t + 1 are well known. (See, e.g., [24]).

**Theorem I.** If there exists an n-dimensional linear code over GF(p) with minimum distance at least t + 1 and length m, then

$$p^{m-n} \geq \sum_{i=0}^{\lfloor t/2 \rfloor} (p-1)^i \binom{m}{i} .$$

Theorem II. If

$$p^{m-n} > \sum_{i=0}^{i-1} (p-1)^i {m-1 \choose i},$$

then there exists an n-dimensional linear code over GF(p) with minimum distance at least t + 1 and length m.

The inequalities of Theorems I and II are well known as Hamming-bound and Varsharmov–Gilbert-bound, respectively. It should be noted that Theorem II is proved constructively. In what follows, we estimate  $\Lambda(t, n, p)$ from Theorems 4, I, and II. We need a few lemmas:

**Lemma 5.** For  $1 \le k \le m$ ,

$$\left\{\frac{(p-1)m}{k}\right\}^k \le \sum_{i=0}^k (p-1)^i \binom{m}{i} \le p^k \binom{m}{k}.$$

*Proof.* Let  $S_p(m, k) = \sum_{i=0}^{k} (p - 1)^i {m \choose i}$ . First, consider the first inequality. Trivially,

$$S_p(m,k) \ge (p-1)^k \binom{m}{k} \ge (p-1)^k$$
$$\times \frac{m(m-1)\cdots(m-k+1)}{k!} \ge (p-1)^k \binom{m}{k}^k.$$

Now consider the second inequality. By induction on m and k,

- 1. Since  $S_p(m, 1) = (p 1)m + 1 \le pm$  and  $S_p(k, k) = p^k$ , the claim is true if k = 1 or m = k.
- 2. Let  $2 \le k < m$  and assume that the claim is true for  $S_p(m, k'), S_p(m'k)$ , and  $S_p(m', k')$  with m > m' and k > k'. Since

$$\binom{m}{i} = \binom{m-1}{i} + \binom{m-1}{i-1}$$

for any  $i, 1 \le i \le m - 1$ ,  $S_p(m, k) = S_p(m - 1, k) + (p - 1) \cdot S_p(m - 1, k - 1)$ . Thus,

$$S_{p}(m,k) \leq p^{k} \binom{m-1}{k} + (p-1) \cdot p^{k-1} \binom{m-1}{k-1}$$
$$\leq p^{k} \cdot \left\{ \binom{m-1}{k} + \binom{m-1}{k-1} \right\} = p^{k} \binom{m}{k}.$$

Lemma 6 [16].

$$\binom{m}{k} \leq \left(\frac{em}{k}\right)^k.$$

**Lemma 7.** Let  $y \ge 1 + \log_p e$ . If  $x - \log_p x \le y$ , then  $x \le y + 2 \log_p y$ .

*Proof.* Assume contrary that  $x > y + 2 \log_p y$  and let  $g(z) = z - \log_p z$ . Since g(z) is an increasing function for  $z > \log_p e$  and  $x > y + 2 \log_p y \ge 1 + \log_p e$ , we have

$$g(x) - y > g(y + 2 \log_p y) - y$$
  
= 2 \log\_p y - \log\_p (y + 2 \log\_p y) = \log\_p \frac{y^2}{y + 2 \log\_p y}

Since  $y^2 \ge y + 2 \log_p y$  for any  $y \ge 1 + \log_p e$ , we obtain  $x - \log_p x > y$ , which is a contradiction.

**Theorem 5.** Let *M* be an  $m \times n$  matrix over GF(p). If  $G_p(M)$  is a t-DFT matric graph for  $D_n(p)$  ( $t \ge 2$ ), then

$$m \ge n + \left\lfloor \frac{t}{2} \right\rfloor \log_p \frac{(p-1)n}{\lfloor t/2 \rfloor}$$

*Proof.* If  $G_p(M)$  is a *t*-DFT matric graph for  $D_n(p)$ , then C(M) is a basis of an *n*-dimensional linear code over GF(p) with minimum distance at least t + 1 by Theorem 4. Thus, by Theorem I and Lemma 5, we have

$$p^{m-n} \ge \sum_{i=0}^{k} (p-1)^{i} {m \choose i} \ge \left\{ \frac{(p-1)m}{k} \right\}^{k},$$

where 
$$k = \left\lfloor \frac{t}{2} \right\rfloor$$
. Hence,

$$m - n \ge k \log_p \frac{(p-1)m}{k} \ge k \log_p \frac{(p-1)n}{k}$$
.

**Theorem 6.** There exists an  $m \times n$  matrix M over GF(p) such that  $G_p(M)$  is a t-DFT matric graph for  $D_n(p)$  ( $t \ge 2$ ) and

$$m \le n + (t-1) \left\{ 2 \log_p \left( \frac{n}{t-1} + c_p \right) + c_p \right\} + 1,$$

where  $c_p = 1 + \log_p e$ .

Proof. By Theorems 4 and II, if

$$p^{m-n} > \sum_{i=0}^{t-1} (p-1)^i \binom{m-1}{i},$$
 (2)

then there exists an  $m \times n$  matrix M over GF(p) such that  $G_p(M)$  is a *t*-DFT matric graph for  $D_n(p)$ . Let m' be the minimum number of m satisfying (2). Then,

$$p^{m'-1-n} \leq \sum_{i=0}^{t-1} (p-1)^{i} \binom{m'-2}{i}$$
$$\leq \sum_{i=0}^{t-1} (p-1)^{i} \binom{m'-1}{i}.$$

Thus, by Lemmas 5 and 6,

$$p^{m'-1-n} \leq \left\{\frac{ep(m'-1)}{t-1}\right\}^{t-1},$$

that is,

$$m' - 1 - n \le (t - 1) \left( \log_p \frac{m' - 1}{t - 1} + 1 + \log_p e \right),$$

that is,

$$\frac{m'-1}{t-1} - \log_p \frac{m'-1}{t-1} \le \frac{n}{t-1} + c_p.$$

Since  $c_p = 1 + \log_p e$ , by putting x = (m' - 1)/(t - 1)and  $y = n/(t - 1) + c_p$  in Lemma 7, we obtain

$$\frac{m'-1}{t-1} \le \frac{n}{t-1} + c_p + 2 \log\left(\frac{n}{t-1} + c_p\right).$$

Hence,

$$m' \le n + (t-1) \left\{ 2 \log \left( \frac{n}{t-1} + c_p \right) + c_p \right\} + 1.$$

Since the numbers of edges of  $G_p(M)$  and  $D_n(p)$  are  $mp^n$  and  $np^n$ , respectively, we obtain from Theorems 5 and 6 the following upper and lower bounds for  $\Lambda(t, n, p)$ :

**Theorem 7.** For any prime  $p \ge 3$ ,

$$\left\lfloor \frac{t}{2} \right\rfloor p^n \log_p \frac{(p-1)n}{\lfloor t/2 \rfloor} \le \Lambda(t, n, p)$$
$$\le (t-1)p^n \left\{ 2 \log_p \left( \frac{n}{t-1} + c_p \right) + c_p \right\} + p^n,$$

where  $t \ge 2$  and  $c_p = 1 + \log_p e$ .

Since the numbers of edges of 
$$G_2(M)$$
 and  $D_n(2)$  are  $m2^{n-1}$  and  $n2^{n-1}$ , respectively, we obtain from Theorems 5 and 6

$$\left\lfloor \frac{t}{2} \right\rfloor 2^{n-1} \log_2 \frac{n}{\lfloor t/2 \rfloor} \le \Lambda(t, n, 2)$$
$$\le (t-1)2^{n-1} \left\{ 2 \log_2 \left( \frac{n}{t-1} + c_2 \right) + c_2 \right\} + 2^{n-1},$$

which was proved in [28]. We can show the precise value of  $\Lambda(1, n, p)$  as follows:

### **Theorem 8.** $\Lambda(1, n, p) = p^n$ for any prime $p \ge 3$ .

*Proof.* If *M* is the  $n \times (n + 1)$  matrix over GF(p) obtained from  $I_n$  by adding a row consisting of 1's, then *M* satisfies the condition of Theorem 3 for t = 1. Thus,  $\Lambda(1, n, p) \leq p^n$ .

If *M* is an  $m \times n$  matrix over GF(p) such that  $G_p(M)$  is a 1-DFT matric graph for  $D_n(p)$ , then  $m \ge n + 1$ , and so  $\Lambda(1, n, p) \ge p^n$ .

### 3.2. The Case When $k \ge 3$ is an integer

For any matrix  $M = (m_{ij})$  consisting of integers, let  $M \mod k = (m_{ij} \mod k)$ .

**Lemma 8.** Let  $k = k_1k_2$ , where  $k_i \ge 2$  for i = 1, 2. Then, an  $n \times n$  matrix M over [k] has property  $\mathcal{I}_k$  if and only if  $M \mod k_1$  has property  $\mathcal{I}_{k_1}$  and  $M \mod k_2$ has property  $\mathcal{I}_{k_2}$ .

*Proof.* Assume that M does not have property  $\mathcal{I}_k$ , that is, there exists some  $a_1, a_2, \ldots, a_n \in [k]$ , not all zero, such that

$$(a_1\mathbf{r}_1 + a_2\mathbf{r}_2 + \cdots + a_n\mathbf{r}_n) \mod k = \mathbf{0}.$$
(3)

Let  $a'_i = a_i \mod k_1$  for i = 1, 2, ..., n. Then,  $(a'_1 \mathbf{r}_1 + a'_2 \mathbf{r}_2 + \cdots + a'_n \mathbf{r}_n) \mod k_1 = \mathbf{0}$  by Eq. (3). Thus, if  $a'_i \neq 0$  for some i, then  $M \mod k_1$  does not have property  $\mathcal{G}_{k_1}$ . If  $a'_i = 0$  for any i = 1, 2, ..., n, then  $a''_i = a_i/k_1 \in [k_2]$  for any i = 1, 2, ..., n and they are not all zero. Since  $(a''_1 \mathbf{r}_1 + a''_2 \mathbf{r}_2 + \cdots + a''_n \mathbf{r}_n) \mod k_2 = \mathbf{0}$  by Eq. (3),  $M \mod k_2$  does not have property  $\mathcal{G}_{k_2}$ .

Conversely, assume that  $M \mod k_i$  does not have property  $\mathcal{J}_{k_i}$  for i = 1 or i = 2. We may assume without loss of generality that  $M \mod k_1$  does not have property  $\mathcal{J}_{k_1}$ . Then, there exists  $a_1, a_2, \ldots, a_n \in [k_1]$ , not all zero, such that  $(a_1\mathbf{r}_1 + a_2\mathbf{r}_2 + \cdots + a_n\mathbf{r}_n) \mod k_1 = \mathbf{0}$ . Since  $k_2a_1, k_2a_2, \ldots, k_2a_n \in [k]$  and  $(k_2a_1\mathbf{r}_1 + k_2a_2\mathbf{r}_2 + \cdots + k_2a_n\mathbf{r}_n) \mod k = \mathbf{0}$ , we conclude that M does not have property  $\mathcal{J}_k$ .

**Corollary 2.** Let p be a prime and let l be a positive integer. Then, an  $n \times n$  matrix M over  $[p^{l}]$  has property  $\mathcal{I}_{p^{l}}$  if and only if  $M \mod p$  has property  $\mathcal{I}_{p}$ .

*Proof.* The proof is by induction on l using Lemma 8.

**Corollary 3.** Let x be a positive integer and let  $k = p_1^{l_1} p_2^{l_2} \cdots p_x^{l_x}$ , where  $p_i$  is a prime and  $l_i$  is a positive integer for any  $i, 1 \le i \le x$ . Then, an  $n \times n$  matrix M over [k] has property  $\mathcal{I}_k$  if and only if M mod  $p_i$  has property  $\mathcal{I}_{p_i}$  for any i = 1, 2, ..., x.

*Proof.* The proof is by induction on x using Corollary 2 and Lemma 8.

#### **Theorem 9.** $\Lambda(1, n, k) = k^n$ for any integer $k \ge 3$ .

*Proof.* If *M* is the  $(n + 1) \times n$  matrix obtained from  $I_n$  by adding a row consisting of 1's, then  $G_p(M)$  is a 1-DFT matrix graph for  $D_n(p)$  for any prime *p*. Thus, if *M'* is the matrix obtained from *M* by deleting any one row, then  $G_p(M')$  is isomorphic to  $D_n(p)$ , and so *M'* has property  $\mathcal{I}_p$  by Theorem 2. By Corollary 3, *M'* has property  $\mathcal{I}_k$  for any positive integer  $k \ge 3$ , and so  $G_k(M')$  is a 1-DFT matric graph for  $D_n(k)$ , and  $\Lambda(1, n, k) \le k^n$ .

If M is a  $m \times n$  matrix such that  $G_k(M)$  is a 1-DFT

matric graph for  $D_n(k)$ , then  $m \ge n + 1$ , and so  $\Lambda(1, n, k) \ge k^n$ .

**Lemma 9.** Let p be a prime and let M be an  $m \times n$ matrix over GF(p). If  $G_p(M)$  is a t-DFT matric graph for  $D_n(p)$ , then  $G_{p'}(M)$  is a t-DFT matric graph for  $D_n(p')$ , where l is a positive integer.

*Proof.* If  $G_p(M)$  is a *t*-DFT matric graph for  $D_n(p)$ , then, for any  $S \subset R(M)$  with  $|S| \leq t$ , there exists some  $S' \subset R(M) - S$  with |S'| = m - n - |S| such that  $G_p(M \setminus S \setminus S')$  is isomorphic to  $D_n(p)$ . Then,  $M \setminus S \setminus S'$ has property  $\mathscr{I}_p$  by Theorem 2, and so property  $\mathscr{I}_{p'}$  by Corollary 2. Thus,  $G_{p'}(M \setminus S \setminus S')$  is isomorphic to  $D_n(p^1)$ by Theorem 2. Hence,  $G_{p'}(M)$  is a *t*-DFT matric graph for  $D_n(p^1)$ . ■

**Theorem 10.** Let p be a prime and let l be a positive integer where  $p^{l} \ge 3$ . Then,

$$\begin{split} \Lambda(t, n, p^{l}) &\leq (t-1)p^{ln} \\ &\times \left\{ 2 \log_{p} \left( \frac{n}{t-1} + c_{p} \right) + c_{p} \right\} + p^{ln}, \end{split}$$

where  $t \ge 2$  and  $c_p = 1 + \log_p e$ .

*Proof.* The proof is by Lemma 9 and Theorem 6. ■

**Theorem III** [10]. Let *n* be an integer where  $n \ge 2$ , and let  $p \ge n - 1$  be a prime. Then, there exists an  $m \\ \times n \text{ matrix } M \text{ over } GF(p) \text{ such that } G_p(M) \text{ is a t-DFT} matric graph for <math>D_n(p)$  and m = n + t where  $t \le p + 1 - n$ .

**Theorem 11.** Let p be a prime and let l be a positive integer where  $p^l \ge 3$ . Then,

$$\Lambda(t, n, p^l) = t p^{ln},$$

where  $t \leq p + 1 - n$ .

*Proof.* By Lemma 9 and Theorem III,  $\Lambda(t, n, p^l) \leq tp^{ln}$ . If *M* is a  $m \times n$  matrix over  $[p^l]$  such that  $G_{p'}(M)$  is a *t*-DFT matric graph for  $D_n(p^l)$ , then  $m \geq n + t$ , and so  $\Lambda(t, n, p^l) \geq tp^{ln}$ .

# 4. *t*-EFT GRAPHS FOR $D_n(k)$

Since a *t*-DFT matric graph for  $D_n(k)$  is also a *t*-EFT graph for  $D_n(k)$ , we have  $\Delta(t, D_n(k)) \leq \Lambda(t, n, k)$ . Thus, we obtain Theorems 12, 13, and 14 from Theorems 9, 10, and 11, respectively. **Theorem 12.**  $\Delta(1, D_n(k)) \leq k^n$  for any integer  $k \geq 3$ .

**Theorem 13.** Let p be a prime and let l be a positive integer where  $p^{l} \ge 3$ . Then,

$$\Delta(t, D_n(p^l)) \le (t-1)p^{ln}$$

$$\times \left\{ 2 \log_p \left(\frac{n}{t-1} + c_p\right) + c_p \right\} + p^{ln},$$

where  $t \ge 2$  and  $c_p = 1 + \log_p e$ .

**Theorem 14.** Let p be a prime and let l be a positive integer where  $p^{l} \ge 3$ . Then,

$$\Delta(t, D_n(p^l)) \le t p^{ln},$$

where  $t \leq p + 1 - n$ .

On the other hand, we have the following lower bound:

$$\Delta(t, D_n(k)) \ge \frac{1}{2}tk^n, \tag{4}$$

since the degree of each vertex of a *t*-EFT graph for  $D_n(k)$  is at least 2n + t. It is an interesting open problem to close the gap between bounds in theorems above and (4).

The authors are grateful to Professor Y. Kajitani for his encouragement. The research is a part of the CAD21 Project at TIT.

#### REFERENCES

- [1] M. Ajitai, N. Alon, J. Bruck, R. Cypher, C. T. Ho, and M. Naor, Fault tolerant graphs, perfect hash functions and disjoint paths. *Proceedings of the IEEE Symposium* on Foundations of Computer Science (1992) 693–702.
- [2] N. Alon and F. R. K. Chung, Explicit construction of linear sized tolerant networks. *Discr. Math.* 72 (1988) 15–19.
- [3] F. Annexstein, Fault tolerance in hypercube-derivative networks. *Proceedings of the ACM Symposium on Parallel Algorithms and Architectures* (1989) 179–188.
- [4] B. Becker and H. U. Simon, How robust is the *n*-cube? *Info. Comput.* **77** (1988) 162–178.
- [5] J. Bruck, R. Cypher, and C. T. Ho, Fault-tolerant meshes with minimal numbers of spares. *Proceedings of the 3rd IEEE Symposium on Parallel and Distributed Processing* (1991) 288–295.
- [6] J. Bruck, R. Cypher, and C. T. Ho, Fault-tolerant meshes and hypercubes with minimal numbers of spares. *IEEE Trans. Comput.* (1993) 1089–1104.

- [7] J. Bruck, R. Cypher, and C. T. Ho, Fault-tolerant meshes with small degree. *Proceedings of the ACM Symposium* on Parallel Algorithms and Architectures (1993) 1–10.
- [8] J. Bruck, R. Cypher, and C. T. Ho, Fault-tolerant de Bruijn and shuffle-exchange networks. *IEEE Trans. Parallel Distrib. Syst.* 5 (1994) 548–553.
- [9] J. Bruck, R. Cypher, and C. T. Ho, Tolerating faults in a mesh with a row of spare nodes. *Theor. Comput. Sci.* 128 (1994) 241–252.
- [10] J. Bruck, R. Cypher, and C. T. Ho, Wildcard dimensions, coding theory and fault-tolerant meshes and hypercubes. *IEEE Trans. Comput.* 44 (1995) 150–155.
- [11] J. Bruck, R. Cypher, and D. Soroker, Running algorithms efficiently on faulty hypercubes. *Proceedings of the ACM Symposium on Parallel Algorithms and Architectures* (1990) 37–44.
- [12] J. Bruck, R. Cypher, and D. Soroker, Tolerating faults in hypercubes using subcube partitioning. *IEEE Trans. Comput.* **41** (1992) 599–605.
- [13] J. Bruck and C. T. Ho, Fault-tolerant cube graphs and coding theory. Preprint (1995).
- [14] A. A. Farrag and R. J. Dawson, Designing optimal faulttolerant star networks. *Networks* 19 (1989) 707–716.
- [15] A. A. Farrag and R. J. Dawson, Fault-tolerant extensions of complete multipartite networks. *Proceedings of the* 9th International Conference on Distributed Computing Systems (1989) 143–150.
- [16] W. Feller, An Introduction to Probability Theory and Its Applications. Modern Asia Edition, 2 ed., 1 John Wiley & Sons, Inc., New York (1964).
- [17] N. Graham, F. Harary, M. Livingston, and Q. F. Stout, Subcube fault-tolerance in hypercubes. *Info. Comput.* 102 (1993) 280–314.

- [18] F. Harary and J. P. Hayes, Edge fault tolerance in graphs. *Networks* 23 (1993) 135–142.
- [19] J. Hastad, F. T. Leighton, and M. Newman, Fast computations using faulty hypercubes. *Proceedings of the ACM Symposium on Theory of Computing* (1989) 251–284.
- [20] J. P. Hayes, A graph model for fault-tolerant computing systems. *IEEE Trans. Comput.* C-25 (1976) 875–883.
- [21] C. T. Ho, An observation on the bisectional interconnection networks. *IEEE Trans. Comput.* 41 (1992) 873– 877.
- [22] C. Kaklamanis, A. R. Karlin, F. T. Leighton, V. Milenkovic, P. Raghavan, S. Rao, C. Thomborson, and A. Tsantilas, Asymptotically tight bounds for computing with faulty arrays of processors. *Proceedings of the IEEE Symposium on Foundations of Computer Science* (1990) 285–296.
- [23] M. Paoli, W. W. Wong, and C. K. Wong, Minimum k-Hamiltonian graphs. J. Graph Theory 10 (1986) 79–95.
- [24] W. W. Peterson and E. J. Weldon, Jr., *Error-Correcting Codes*, 2nd ed. MIT Press, Cambridge, MA (1972).
- [25] A. L. Rosenberg, Fault-tolerant interconnection networks, a graph theoretic approach. Workshop on Graph-Theoritec Concepts in Computer Science, Trauner Veriag, Linz (1983) 286–297.
- [26] S. Ueno, A. Bagchi, S. L. Hakimi, and E. F. Schmeichel, On minimum fault-tolerant networks. *SIAM J. Discr. Math.* 6 (1993) 565–574.
- [27] W. W. Wong and C. K. Wong, Minimum k-Hamiltonian graphs. J. Graph Theory 8 (1984) 155–165.
- [28] T. Yamada, K. Yamamoto, and S. Ueno, Fault-tolerant graphs for hypercubes and tori. *Proceedings of the 28th HICSS* II (1995) 499–505.
- [29] G. W. Zimmerman and A. H. Esfahanian, Chordal rings as fault-tolerant loops. *Discr. Appl. Math.* 37/38 (1992) 563–573.