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# Sparse networks tolerating random faults

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#### Abstract

A network  $G^*$  is called random-fault-tolerant (RFT) network for a network G if  $G^*$  contains a fault-free isomorphic copy of G with high probability even if each processor fails independently with constant probability. This paper proposes a general method to construct an RFT network  $G^*$  for any network G with N processors such that  $G^*$  has O(N) processors. Based on the construction, we also show that if G is a Cayley, de Bruijn, shuffle-exchange, or partial k-tree network with N processors and M communication links then we can construct an RFT network for G with O(N) processors and  $O(M \log N)$  communication links. Cayley networks contain many popular networks such as circulant, hypercube, CCC, wrapped butterfly, star, and pancake networks.

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#### 1. Introduction

This paper considers the following problem in connection with the design of faulttolerant interconnection networks for multiprocessor systems: given an *N*-vertex graph G, construct an O(N)-vertex graph  $\tilde{G}$  with a minimum number of edges such that even after deleting each vertex from  $\tilde{G}$  independently with constant probability, the remaining graph contains G as a subgraph, with probability converging to 1, as  $N \rightarrow \infty$ . An O(N)-vertex graph  $G^*$  is called an random-fault-tolerant (RFT) graph for an *N*-vertex graph G if  $G^*$  contains G as a subgraph with probability converging to 1, as  $N \rightarrow \infty$ , even after deleting each vertex from  $G^*$  independently with constant probability. Therefore, our problem is to find an RFT graph with a minimum number of edges for a given graph.

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Let V(G) and E(G) be the vertex set and edge set of a graph G, respectively. Fraigniaud et al. showed in [5] that for any N-vertex graph G, there exists an RFT graph for G with  $O(|E(G)| \log^2 N)$  edges, and that for any N-vertex graph with O(N) edges and maximum degree of  $\Omega(N)$ , any RFT graph for G has  $\omega(|E(G)|)$  edges. It is an interesting open problem posed in [5] to decide whether any N-vertex graph G has an RFT graph with  $O(|E(G)| \log N)$  edges.

It is also shown in [5] that if G is an N-vertex tree then we can construct an RFT graph with  $O(|E(G)| \log N)$  edges. Friedman and Pippenger showed in [6] that if G is a tree with bounded vertex degree then we can construct an RFT graph with O(|E(G)|) edges. It is further shown in [5] that if G is a path or cycle then we can construct an RFT graph with O(|E(G)|) edges. The result for paths was also shown by Alon and Chung in [3]. Tamaki showed in [8] that if G is an N-vertex mesh or torus then we can construct an RFT graph with O(|E(G)|) edges.

In this paper, we propose a general method to construct an RFT graph for any graph. Based on the construction, we show that if G is an N-vertex Cayley graph, de Bruijn graph, shuffle-exchange graph, or partial k-tree, we can construct an RFT graph for G with  $O(|E(G)| \log N)$  edges. Cayley graphs contain many popular networks such as circulant, hypercube, CCC, wrapped butterfly, star, and pancake graphs. Our result for partial k-trees is a natural generalization of a result for trees in [5].

#### 2. General construction

For any positive integer *l*, let  $[l] = \{0, 1, ..., l-1\}$ . For any set of *S*, a collection  $\mathscr{S} = \{S_0, S_1, ..., S_{l-1}\}$  of subsets of *S* is a partition of *S* if  $\bigcup_{i \in [l]} S_i = S$  and  $S_i \cap S_j = \emptyset$  for any  $i \neq j$ .

Let G be any N-vertex graph. For any partition  $\mathscr{V} = \{V_0, V_1, \dots, V_{l-1}\}$  of V(G), define

$$\Lambda(G,\mathscr{V}) = \{(i,j): \exists (u,v) \in E(G)(u \in V_i, v \in V_j)\}, \text{ and}$$
$$\lambda(G,\mathscr{V}) = |\Lambda(G,\mathscr{V})|.$$

Let 0 be the probability for each vertex to be deleted. The deleted and undeleted vertices are said to be faulty and fault-free, respectively.

Let  $\mathscr{V} = \{V_0, V_1, \dots, V_{l-1}\}$  be any partition of V(G) such that  $|V_i| \leq \alpha \ln N$  for any  $i \in [l]$  and  $l \leq \beta N / \ln N$  for some fixed positive numbers  $\alpha$  and  $\beta$ . Let  $V_0^*, V_1^*, \dots, V_{l-1}^*$  be *l* sets such that  $|V_i^*| = \lceil \gamma \ln N \rceil$  for any  $i \in [l]$  and  $V_i^* \cap V_j^* = \emptyset$  for any  $i \neq j$ , where

$$\gamma = \frac{(\sqrt{2\alpha + 1} + 1)^2}{2(1 - p)}.$$

Note that  $\gamma$  is fixed since  $\alpha$  and p are fixed. Then,  $G^*[\mathcal{V}]$  is the graph defined as follows:

$$V(G^*[\mathscr{V}]) = V_0^* \cup V_1^* \cup \dots \cup V_{l-1}^*;$$
  
$$E(G^*[\mathscr{V}]) = \{(u^*, v^*): u^* \in V_i^*, v^* \in V_j^*, (i, j) \in \Lambda(G, \mathscr{V})\}.$$

**Theorem 1.** Let G be any N-vertex graph, and let  $\mathscr{V} = \{V_0, V_1, \dots, V_{l-1}\}$  be any partition of V(G) such that  $|V_i| = O(\ln N)$  and  $l = O(N/\ln N)$ . Then  $G^*[\mathscr{V}]$  is an RFT graph for G with  $O(\lambda(G, \mathscr{V})\log^2 N)$  edges.

**Proof.** We prove the theorem by a series of lemmas. It is easy to see the following two lemmas.

**Lemma 1.**  $|V(G^*[\mathscr{V}])| \leq \beta N/\ln N \cdot [\gamma \ln N].$ 

Lemma 2.  $|E(G^*[\mathscr{V}])| \leq \lambda(G, \mathscr{V}) \cdot [\gamma \ln N]^2$ .

Now we prove that  $G^*[\mathscr{V}]$  is an RFT graph for G. We need a few probabilistic notations and lemmas.

For any event *E*, let Prob[E] denote the probability of *E*. For any random variable *X* and real number *r*, let  $\{X \leq r\}$  denote the event that  $X \leq r$ . The probability of  $\{X \leq r\}$  is denoted by  $Prob[X \leq r]$  instead of  $Prob[\{X \leq r\}]$ . The following inequality is well-known as Chernoff Bound.

**Lemma 3** (Hagerup and Rüb [7]). Let X be the binomial variable with parameters m and q, that is, the number of successes in m Bernoulli trials with probabilities q for success and 1 - q for failure. Then, for any constant  $0 < \varepsilon < 1$ ,

$$\operatorname{Prob}[X \leq (1 - \varepsilon)qm] \leq \exp(-\frac{1}{2}\varepsilon^2 qm).$$

**Lemma 4.** Let  $Y_i$  be the number of fault-free vertices of  $V_i^*$ . Then, for any  $i \in [l]$ ,

$$\operatorname{Prob}[Y_i \leqslant \alpha \ln N] \leqslant \frac{1}{N}.$$

Moreover,

Prob 
$$\left[\bigcup_{i=0}^{l-1} \{Y_i \leq \alpha \ln N\}\right] \leq \frac{\beta}{\ln N}.$$

**Proof.** Set  $\varepsilon = 2/(\sqrt{2\alpha + 1} + 1)$ , q = 1 - p, and  $m = \lceil \gamma \ln N \rceil$ . Since  $0 < \varepsilon < 1$ ,

$$(1 - \varepsilon)qm \ge \frac{\sqrt{2\alpha + 1} - 1}{\sqrt{2\alpha + 1} + 1} (1 - p) \frac{(\sqrt{2\alpha + 1} + 1)^2}{2(1 - p)} \ln N$$
$$= \frac{2\alpha}{(\sqrt{2\alpha + 1} + 1)^2} \frac{(\sqrt{2\alpha + 1} + 1)^2}{2} \ln N$$
$$= \alpha \ln N.$$

and

$$\frac{1}{2}\varepsilon^2 qm \ge \frac{1}{2} \frac{4}{(\sqrt{2\alpha+1}+1)^2} (1-p) \frac{(\sqrt{2\alpha+1}+1)^2}{2(1-p)} \ln N = \ln N,$$

we obtain by Lemma 3 that

$$\operatorname{Prob}[Y_i \leqslant \alpha \ln N] \leqslant \operatorname{Prob}[Y_i \leqslant (1-\varepsilon)qm] \leqslant \exp\left(-\frac{1}{2}\varepsilon^2 qm\right) \leqslant \frac{1}{N}.$$

Moreover,

$$\operatorname{Prob}\left[\bigcup_{i=0}^{l-1} \left\{Y_i \leqslant \alpha \ln N\right\}\right] \leqslant \sum_{i=0}^{l-1} \operatorname{Prob}[Y_i \leqslant \alpha \ln N] \leqslant \frac{\beta}{\ln N}. \qquad \Box$$

**Lemma 5.**  $G^*[\mathscr{V}]$  is an RFT graph for G.

**Proof.** Let  $\phi$  be a one-to-one mapping from V(G) to  $V(G^*[\mathscr{V}])$  such that  $\phi(v)$  is a fault-free vertex of  $V_i^*$  for any  $v \in V_i$ . By Lemma 4, such  $\phi$  exists with probability at least  $1 - (\beta/\ln N)$ .

Now we show that  $(\phi(u), \phi(v)) \in E(G^*[\mathscr{V}])$  for any  $(u, v) \in E(G)$ . Let  $u \in V_i$  and  $v \in V_j$ . Then,  $(i, j) \in \Lambda(G, \mathscr{V})$ . Since  $\phi(u) \in V_i^*$  and  $\phi(v) \in V_j^*$ , we conclude that  $(\phi(u), \phi(v)) \in E(G^*[\mathscr{V}])$ . Hence  $G^*[\mathscr{V}]$  is an RFT graph for G.  $\Box$ 

This completes the proof of Theorem 1.  $\Box$ 

Since  $\lambda(G, \mathcal{V}) \leq |E(G)|$ , we obtain the following corollary.

**Corollary 1.** Let G be any N-vertex graph, and let  $\mathscr{V} = \{V_0, V_1, \dots, V_{l-1}\}$  be any partition of V(G) such that  $|V_i| = O(\ln N)$  and  $l = O(N/\ln N)$ .  $G^*[\mathscr{V}]$  is an RFT graph for G with  $O[(E(G)|\log^2 N) edges$ .

This corollary means that, for any N-vertex graph G, there exists an RFT graph for G with  $O(|E(G)|\log^2 N)$  edges, which was first obtained in [5].

#### 3. RFT graphs for Cayley graphs

#### 3.1. Groups and Cayley graphs

A group is a set  $\Gamma$  together with a binary operation satisfying the following three conditions: (i) (xy)z = x(yz) for all  $x, y, z \in \Gamma$ , (ii) there exists  $e \in \Gamma$ , which is called the unit element of  $\Gamma$ , such that xe = ex = x for all  $x \in \Gamma$ , and (iii) for every  $x \in \Gamma$ , there exists  $y \in \Gamma$ , which is called the inverse for x, such that xy = yx = e. The inverse for x is denoted by  $x^{-1}$ .  $\Gamma$  is said to be finite if  $|\Gamma|$  is finite, and  $\Gamma$  is said to be abelian if xy = yx for all  $x, y \in \Gamma$ . A subset  $\Gamma'$  of  $\Gamma$  is called a subgroup of  $\Gamma$  if the following two conditions are satisfied: (i)  $xy \in \Gamma'$  for all  $x, y \in \Gamma'$ , and (ii)  $x^{-1} \in \Gamma'$  for all  $x \in \Gamma'$ .

Let  $\Gamma$  be a group and let  $S \subset \Gamma$  such that  $e \notin S$  and if  $s \in S$  then  $s^{-1} \in S$ . The Cayley graph  $C(\Gamma, S)$  is defined as follows:  $V(C(\Gamma, S)) = \Gamma$ ; Any two vertices  $x, y \in \Gamma$  are connected by an edge if y = xs for some  $s \in S$ .  $C(\Gamma, S)$  is said to be abelian if  $\Gamma$  is abelian. Cayley graphs are extensively considered in [2] as symmetric interconnection networks.

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We denote  $|\Gamma|$  by N throughout this section. It is easy to see the following.

**Lemma 6.**  $|E(C(\Gamma, S))| = |S| \cdot N/2.$ 

## 3.2. RFT graphs for Abelian Cayley graphs

Let  $\Gamma_1$  and  $\Gamma_2$  be two groups. A mapping  $f : \Gamma_1 \to \Gamma_2$  is called a homomorphism if f(xy)=f(x)f(y) for any  $x, y \in \Gamma_1$ . If f is a bijection then f is called an isomorphism.  $\Gamma_1$  is isomorphic to  $\Gamma_2$ , denoted by  $\Gamma_1 \simeq \Gamma_2$ , if there exists an isomorphism of  $\Gamma_1$  into  $\Gamma_2$ .

The direct product of two groups  $\Gamma_1$  and  $\Gamma_2$  is the set  $\Gamma_1 \times \Gamma_2$  together with a binary operation defined as follows: For any  $[x_1, x_2], [y_1, y_2] \in \Gamma_1 \times \Gamma_2$ ,

 $[x_1, x_2][y_1, y_2] = [x_1y_1, x_2y_2].$ 

The direct product of  $\Gamma_1$  and  $\Gamma_2$  is a group such that the unit element of the group is  $[e_1, e_2]$ , and  $[x_1, x_2]^{-1} = [x_1^{-1}, x_2^{-1}]$ , where  $e_i$  is the unit element of  $\Gamma_i (i = 1, 2)$ .

For any positive integer m, let  $\oplus_m$  denote addition modulo m. Note that [m] with  $\oplus_m$  is an abelian group. The following theorem is well-known. See, for example, [1] for the proof.

**Theorem I.** If  $\Gamma$  is a finite abelian group then

 $\Gamma \simeq [m_1] \times [m_2] \times \cdots \times [m_n]$ 

for some integers  $m_1, m_2, \ldots, m_n \ge 2$ , with  $N = m_1 m_2 \cdots m_n$ .

Let  $\Gamma$  be an abelian group represented as  $[m_1] \times [m_2] \times \cdots \times [m_n]$ . Let d be the least integer such that  $m_1m_2\cdots m_d \ge \log N$ . Let  $\delta$  be the least integer such that  $\delta \cdot m_1\cdots m_{d-1} \ge \log N$  if  $d \ge 2$ , and  $\delta \ge \log N$  if d = 1. Let  $m' = [m_d/\delta]$ ,

 $U_{i,j} = \{x \in \Gamma: \ \delta i \leqslant x_d < \delta(i+1), [x_{d+1}, \dots, x_n] = j\}$ 

for any  $i \in [m']$  and  $j \in [m_{d+1}] \times \cdots \times [m_n]$ , and

 $\mathscr{U}_{\Gamma} = \{ U_{i,j} \colon i \in [m'], j \in [m_{d+1}] \times \cdots \times [m_n] \}.$ 

Then,  $\mathscr{U}_{\Gamma}$  is a partition of  $\Gamma$  such that  $|U_{i,j}| = O(\log N)$  for any  $i \in [m']$  and  $j \in [m_{d+1}] \times \cdots \times [m_n]$ , and  $|\mathscr{U}_{\Gamma}| = O(N/\log N)$ .

**Theorem 2.** If  $\Gamma$  is a finite abelian group represented as  $[m_1] \times [m_2] \times \cdots \times [m_n]$  then  $C^*(\Gamma, S)[\mathscr{U}_{\Gamma}]$  is an RFT graph for  $C(\Gamma, S)$  with  $O(|E(C(\Gamma, S))| \log N)$  edges.

**Proof.** By Theorem 1 and Lemma 6, it suffices to prove that  $\lambda(C(\Gamma, S), \mathscr{U}_{\Gamma}) = O(|S|N/\log N)$ .

Fix  $i \in [m']$ ,  $j = [j_{d+1}, j_{d+2}, ..., j_n] \in [m_{d+1}] \times \cdots \times [m_n]$ , and  $s = [s_1, s_2, ..., s_n] \in S$ . If  $x = [x_1, x_2, ..., x_n] \in U_{i,j}$  then  $\delta i \leq x_d < \delta(i+1)$  and  $x_k = j_k(d+1 \leq k \leq n)$ . Thus,

 $xs = [x_1 \oplus_{m_1} s_1, x_2 \oplus_{m_2} s_2, \dots, x_n \oplus_{m_n} s_n]$ =  $[x_1 \oplus_{m_1} s_1, \dots, j_{d+1} \oplus_{m_{d+1}} s_{d+1}, \dots, j_n \oplus_{m_n} s_n].$  Let i' be an integer such that  $\delta i' \leq \delta i \oplus_{m_d} s_d < \delta(i'+1)$ . Since  $x_d + s_d = \delta i + s_d + (x_d - \delta i)$  and  $0 \leq x_d - \delta i < \delta$ , we conclude that  $xs \in U_{i',j'} \cup U_{i'\oplus_{m'}1,j'}$  if  $\delta \mid m_d$ , and  $xs \in U_{i',j'} \cup U_{i'\oplus_{m'}1,j'} \cup U_{i'\oplus_{m'}2,j}$  otherwise, where  $j' = [j_{d+1}\oplus_{m_{d+1}}s_{d+1}, \dots, j_n\oplus_{m_n}s_n]$ . Thus any vertex adjacent with a vertex in  $U_{i,j}$  is contained in  $U_{i',j'} \cup U_{i'\oplus_{m'}1,j'} \cup U_{i'\oplus_{m'}2,j'}$ . It follows that

$$\lambda(C(\Gamma, S), \mathscr{U}_{\Gamma}) \leq \frac{1}{2} \operatorname{3}|S| |\mathscr{U}_{\Gamma}| = \operatorname{O}\left(\frac{|S|N}{\log N}\right).$$

From Theorems I and 2, we have the following theorem.

**Theorem 3.** If  $\Gamma$  is a finite abelian group then we can construct an RFT graph for  $C(\Gamma, S)$  with  $O(|E(C(\Gamma, S))| \log N)$  edges.

#### 3.2.1. RFT graphs for hypercubes and circulant graphs

If  $\Gamma = [2] \times \cdots \times [2]$  and  $S = \{[1, 0, \dots, 0], [0, 1, 0, \dots, 0], \dots, [0, \dots, 0, 1]\}$  then  $C(\Gamma, S)$  is a hypercube. If  $\Gamma = [N]$  then  $C(\Gamma, S)$  is a circulant graph. Hence, we have the following corollary from Theorem 2.

**Corollary 2.** If G is an N-vertex hypercube or circulant graph then we can construct an RFT graph for G with  $O(|E(G)| \log N)$  edges.

#### 3.3. RFT graphs for Cayley graphs

Let  $\Gamma$  be a group. For any  $S, T \subseteq \Gamma$ , let  $ST = \{xy: x \in S, y \in T\}$ . If  $T = \{y\}$  then we denote ST by Sy. The following theorem is well-known. See, for example, [1] for the proof.

**Theorem II.** Let  $\Gamma$  be a group, and let  $\Gamma'$  be a subgroup of  $\Gamma$ . Then, there exists some  $\{z_0, \ldots, z_{l-1}\} \subseteq \Gamma$  such that  $\{\Gamma'z_0, \ldots, \Gamma'z_{l-1}\}$  is a partition of  $\Gamma$ .

Let  $\Gamma$  be a group, and let be  $\Gamma'$  be a subgroup with  $|\Gamma'| = \Theta(\log N)$ . Let  $\{z_0, \ldots, z_{l-1}\}$  be a subset of  $\Gamma$  as in Theorem II. Then,  $\mathscr{V}_{\Gamma} = \{\Gamma' z_0, \ldots, \Gamma' z_{l-1}\}$  is a partition of  $\Gamma$  such that  $|\Gamma' z_i| = \Theta(\log N)$  for any  $i \in [l]$  and  $|\mathscr{V}_{\Gamma}| = \Theta(N/\log N)$ .

**Theorem 4.** If  $\Gamma$  is a group, and  $\Gamma'$  is a subgroup with  $|\Gamma'| = \Theta(\log N)$  then  $C^*(\Gamma, S)[\mathscr{V}_{\Gamma}]$  is an RFT graph for  $C(\Gamma, S)$  with  $O(|E(C(\Gamma, S))| \log N)$  edges.

**Proof.** By Theorem 1 and Lemma 6, it suffices to prove that  $\lambda(C(\Gamma, S), \mathscr{U}_{\Gamma}) = O(|S|N/\log N)$ .

Notice that if  $x \in \Gamma' z_i$  then  $\Gamma' x = \Gamma' z_i$ . Thus, if  $x, y \in \Gamma' z_i$  for some  $i \in [l]$  then  $xs, ys \in \Gamma' z_j$  for some  $j \in [l]$ . Thus any vertex adjacent with a vertex in  $\Gamma' z_i$  is contained in  $\Gamma' z_i$ . It follows that

$$\lambda(C(\Gamma, S), \mathscr{V}_{\Gamma}) \leq \frac{1}{2} |S| \frac{N}{|\Gamma'|} = O\left(\frac{|S|N}{\log N}\right).$$

3.3.1. RFT graphs for CCC's and Butterfly-Like graphs

It is proved in [4] that a CCC is a Cayley graph. For any positive integer  $n, A_n$  is the  $n \times n$  matrix defined as follows:

 $A_n = \begin{bmatrix} 0 & 1 & 0 & \cdots & 0 \\ 0 & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & 1 \\ 1 & 0 & 0 & \cdots & 0 \end{bmatrix}.$ 

For any positive integer *n*, let  $\mathscr{C}_n$  be the set  $[2]^n \times [n]$  with a binary operation defined as follows: For any  $[x, i], [y, j] \in \mathscr{C}_n$ ,

 $[\mathbf{x},i][\mathbf{y},j] = [\mathbf{x} \oplus_2 \mathbf{y} A_n^i, i \oplus_n j],$ 

where the first addition is bit-wise. It is easy to see that  $\mathscr{C}_n$  is a group. Let

 $D_n = \{ [[0, 0, \dots, 0], 1], [[0, 0, \dots, 0], n-1], [[1, 0, \dots, 0], 0] \}, \text{ and }$ 

 $B_n = \{ [[0, 0, \dots, 0], 1], [[0, 0, \dots, 0], n-1], [[1, 0, \dots, 0], 1], [[0, \dots, 0, 1], n-1] \}.$ 

Then,  $C(\mathscr{C}_n, D_n)$  and  $C(\mathscr{C}_n, B_n)$  are the *n*-dimensional CCC and wrapped butterfly, respectively. Define that

$$\mathscr{C}'_n = \{ [\mathbf{x}, 0] \in \mathscr{C}_n : \forall k \in [n - \lceil \log n \rceil] (x_k = 0) \},\$$

where  $\mathbf{x} = [x_0, x_1, \dots, x_{n-1}] \in [2]^n$ .

**Lemma 7.**  $\mathscr{C}'_n$  is a subgroup of  $\mathscr{C}_n$  with  $|\mathscr{C}'_n| = \Theta(\log N)$ , where  $N = |\mathscr{C}_n| = n2^n$ .

**Proof.** Since  $[\mathbf{x}, 0][\mathbf{y}, 0] = [\mathbf{x} \oplus_2 \mathbf{y}, 0] \in \mathscr{C}'_n$  and  $[\mathbf{x}, 0][\mathbf{x}, 0] = [\mathbf{0}, 0]$  for any  $[\mathbf{x}, 0], [\mathbf{y}, 0] \in \mathscr{C}'_n$ ,  $\mathscr{C}'_n$  is a subgroup of  $\mathscr{C}_n$ . Since  $|\mathscr{C}'_n| = 2^{\lceil \log n \rceil}$  and  $N = n2^n$ , we conclude that  $|\mathscr{C}'_n| = \Theta(\log N)$ .  $\Box$ 

By Theorem 4 and Lemma 7, we obtain the following theorem.

**Theorem 5.** If G is an N-vertex CCC or wrapped butterfly then we can construct an RFT graph for G with  $O(|E(G)| \log N)$  edges.

The following is immediate.

**Corollary 3.** If G is an N-vertex butterfly or Beneš network then we can construct an RFT graph for G with  $O(|E(G)| \log N)$  edges.

3.3.2. RFT graphs for Star and Pancake graphs

Let  $[n]^+ = \{1, 2, ..., n\}$  for any positive integer *n*. Let  $\mathscr{G}_n$  denote the symmetric group on  $[n]^+$ , that is the group of the permutations on  $[n]^+$ . For any integer *k*,  $2 \le k \le n$ ,

let  $\tau_k$  and  $\pi_k$  be permutations on  $[n]^+$  as follows:

$$\tau_k(i) = \begin{cases} k+1-i & \text{if } i=1 \text{ or } i=k\\ i & \text{otherwise,} \end{cases}$$
$$\pi_k(i) = \begin{cases} k+1-i & \text{if } i \in [k]^+,\\ i & \text{otherwise.} \end{cases}$$

Let

$$S_n = \{\tau_k: 2 \leq k \leq n\}$$
 and  $P_n = \{\pi_k: 2 \leq k \leq n\}.$ 

Then,  $C(\mathscr{S}_n, S_n)$  and  $C(\mathscr{S}_n, P_n)$  are the star graph and pancake graph on n! vertices, respectively. Let  $k = \lceil \log n + \log \log n \rceil$ . For any  $\mathbf{x} = [x_1, x_2, \dots, x_k] \in [2]^k$ ,

$$v_{\mathbf{x}}(i) = \begin{cases} 2j - (r \oplus_2 x_j) & \text{if } i \in [2k]^+, \text{ and} \\ i & \text{otherwise,} \end{cases}$$

where  $j = \lfloor i/2 \rfloor$  and r = 2j - i. Define that

$$\mathscr{S}'_n = \{ v_x \colon x \in [2]^k \}.$$

**Lemma 8.**  $\mathscr{S}'_n$  is a subgroup of  $\mathscr{S}_n$  with  $|\mathscr{S}'_n| = \Theta(\log N)$ , where  $N = |\mathscr{S}_n| = n!$ .

**Proof.** Since  $v_x v_y = v_{x \oplus_2 y}$  and  $v_x^{-1} = v_x$  for any  $x, y \in [2]^k$ ,  $\mathscr{S}'_n$  is a subgroup of  $\mathscr{S}_n$ . Since  $|\mathscr{S}'_n| = 2^{\lceil \log n + \log \log n \rceil}$  and N = n!, we conclude that  $|\mathscr{S}'_n| = \Theta(\log N)$ .  $\Box$ 

By Theorem 4 and Lemma 8, we obtain the following theorem.

**Theorem 6.** If G is an N-vertex star graph or pancake graph then we can construct an RFT graph for G with  $O(|E(G)| \log N)$  edges.

## 4. RFT graphs for shuffle-exchange and de Bruijn graphs

For any  $v = [v_1, v_2, ..., v_n] \in [2]^n$ , let

$$\sigma(v) = [v_2, \dots, v_n, v_1], \quad \chi(v) = [v_1, \dots, v_{n-1}, \overline{v_n}], \text{ and } \rho_i(v) = [v_1, \dots, v_i],$$

where  $\overline{v_n}$  denotes the complement of  $v_n$ , that is  $\overline{v_n} = 1$  if  $v_n = 0$ , and  $\overline{v_n} = 0$  otherwise. Notice that v is the composite of  $\chi$  and  $\sigma$ , that is,  $v = \chi \circ \sigma$ .

The *n*-dimensional de Bruijn graph dB(n) is the graph defined as follows:

 $V(dB(n)) = [2]^n;$ 

$$E(dB(n)) = \{(u, v): v = \sigma(u) \text{ or } u = \sigma(v)\}$$

$$\cup \{(u, v): v = \chi(\sigma(u)) \text{ or } u = \chi(\sigma(v))\}.$$

It is easy to see that |V(dB(n))| = N and |E(dB(n))| = 2N, where  $N = 2^n$ .

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The *n*-dimensional shuffle-exchange graph SE(n) is the graph defined as follows:

$$V(SE(n)) = [2]^n$$

$$E(SE(n)) = \{(u, v): v = \sigma(u) \text{ or } u = \sigma(v)\} \cup \{(u, v): v = \chi(u)\}$$

Let

$$V_x = \{ v \in [2]^n \colon \rho_{n - \lceil \log n \rceil}(v) = x \}$$

for any  $x \in [2]^{n - \lceil \log n \rceil}$  and let

 $\mathscr{V}_n = \{ V_x \colon x \in [2]^{n - \lceil \log n \rceil} \}.$ 

Then,  $\mathscr{V}_n$  is a partition of  $[2]^n$  such that  $|V_x| \leq 2 \log N$  for any  $x \in [2]^{n - \lceil \log n \rceil}$  and  $|\mathscr{V}_n| \leq N/\log N$ , where  $N = |[2]^n| = 2^n$ .

**Theorem 7.**  $dB^*(n)[\mathscr{V}_n]$  is an RFT graph for dB(n) with  $O(|E(dB(n))| \log N)$  edges.

**Proof.** By Theorem 1, it suffices to prove that  $\lambda(dB(n), \mathscr{V}_n) = O(N/\log N)$ .

Consider any edge  $(u, v) \in E(dB(n))$ . Assume without loss of generality that  $v = \sigma(u)$  or  $v = \chi(\sigma(u))$ . Let  $x = \rho_{n - \lceil \log n \rceil}(u)$  and  $y = \rho_{n - \lceil \log n \rceil}(v)$ . It is easy to see that  $y = \sigma(x)$  or  $y = \chi(\sigma(x))$ . Thus,

$$\Lambda(dB(n), \mathscr{V}_n) \subseteq \{(x, y): y = \sigma(x) \text{ or } x = \sigma(y)\}$$
$$\cup\{(x, y): y = \chi(\sigma(x)) \text{ or } x = \chi(\sigma(y))\},\$$

and we have

$$\lambda(dB(n), \mathscr{V}_n) \leq 2^{n - \lceil \log n \rceil + 1} \leq \frac{2N}{\log N} = O\left(\frac{N}{\log N}\right). \qquad \Box$$

The following can be proved similarly.

**Theorem 8.**  $SE^*(n)[\mathscr{V}_n]$  is an RFT graph for SE(n) with  $O(|E(SE(n))| \log N)$  edges.

## 5. RFT graphs for partial k-trees

5.1. Partial k-trees

A tree decomposition of a graph G is a pair  $(T, \chi)$ , where T is a tree and  $\chi = \{X_t \subseteq V(G): t \in V(T)\}$  is a family of subsets of V(G), satisfying the following three conditions:

(1)  $V(G) = \bigcup_{t \in V(T)} X_t;$ 

- (2) for every  $(u, v) \in E(G)$ , there exists  $t \in V(T)$  such that  $u, v \in X_t$ ;
- (3) for every  $r, s, t \in V(T)$ , if s is on the path between r and t in T then  $X_r \cap X_t \subseteq X_s$ .

The width of  $(T, \chi)$  is  $\max\{|X_t| - 1: t \in V(T)\}$ . The treewidth of *G* is the minimum width over all possible tree decompositions of *G*. A simple graph of treewidth at most *k* is called a partial *k*-tree. A tree is a partial 1-tree. It is not difficult to see that if *G* is a connected partial *k*-tree,  $N - 1 \leq |E(G)| \leq kN$ .

#### 5.2. RFT graphs for partial k-trees

We assume in this section that k is a fixed positive integer. Let G be a connected partial k-tree with N vertices, and let  $(T, \chi)$  be a tree decomposition of G with width at most k, where  $\chi = \{X_t \subseteq V(G): t \in V(T)\}$ , and T is considered as a rooted tree with root r. For any  $t \in V(T)$ , T(t) is a subtree of T rooted at t and induced by the descendants of t in T. child<sub>T</sub>(t) is the set of children of t in T. Define that  $\Phi_T(t) = \bigcup_{s \in V(T(t))} X_s$ , and  $\Psi_T(t) = \Phi_T(t) - X_p$ , where p is the parent of t in T. Notice that  $\Psi_T(r) = V(G)$ . We need a few preliminary lemmas.

**Lemma 9.** If  $t \in V(T)$  and  $s, s' \in \text{child}_T(t)$  then  $\Psi_T(s) \cap \Psi_T(s') = \emptyset$ .

**Proof.** Assume contrary that  $\Psi_T(s) \cap \Psi_T(s') \neq \emptyset$  and  $x \in \Psi_T(s) \cap \Psi_T(s')$ . Since *t* is on the path between *s* and *s'* in *T*,  $x \in X_t$  by the definition of the tree decomposition. Since *t* is the parent of *s* and *s'*, we conclude that  $x \notin \Psi_T(s) \cup \Psi_T(s')$ , a contradiction.  $\Box$ 

For any  $t \in V(T)$ , define that

$$\rho_T(t) = \max\{|\Psi_T(s)|: s \in \operatorname{child}_T(t)\}.$$

If t is a leaf then we define that  $\rho_T(t) = 0$ .

**Lemma 10.** Let  $h \ge k+1$  be an integer, and let t be a vertex of T with  $|\Psi_T(t)| \ge 2h+1$ . If  $\rho_T(t) \le 2h$  then there exists some  $S \subseteq \text{child}_T(t)$  such that

$$h+1 \leq \left| \bigcup_{s \in S} \Psi_T(s) \right| \leq 2h.$$

**Proof.** Let  $\operatorname{child}_T(t) = \{s_0, s_1, \dots, s_{m-1}\}$ , and d be a minimal integer satisfying that  $|\bigcup_{i \in [d]} \Psi_T(s_i)| \ge h + 1$ . Since  $|\bigcup_{i \in [m]} \Psi_T(s_i)| = |\Psi_T(t) - X_t| \ge (2h + 1) - (k + 1) \ge h + 1$ , there exists such d. If  $|\bigcup_{i \in [d]} \Psi_T(s_i)| \le 2h$  then  $\{s_0, \dots, s_{d-1}\}$  is a desired set. Suppose that  $|\bigcup_{i \in [d]} \Psi_T(s_i)| \ge 2h + 1$ . Since  $s_i$  is a child of t in T for any i, we obtain from Lemma 9 that  $|\Psi_T(s_{d-1})| = |\bigcup_{i \in [d]} \Psi_T(s_i)| - |\bigcup_{i \in [d-1]} \Psi_T(s_i)|$ . Since  $|\bigcup_{i \in [d-1]} \Psi_T(s_i)| \le h$  by the minimality of d, we have  $|\Psi_T(s_{d-1})| \ge (2h+1)-h=h+1$ . Since  $|\Psi_T(s_{d-1})| \le \rho_T(t) \le 2h$ ,  $\{s_{d-1}\}$  is a desired set.  $\Box$ 

**Lemma 11.** Let  $h \ge k+1$  be an integer, and  $|V(G)| \ge 2h+1$ . Then, there exist some  $t \in V(T)$  and  $S \subseteq \text{child}_T(t)$  such that

$$h+1 \leqslant \left| \bigcup_{s \in S} \Psi_T(s) \right| \leqslant 2h.$$

```
 \begin{array}{l} \textbf{Input: Graph $G$ and Tree-Decomposition $(T, \mathcal{X})$ of $G$;}\\ \textbf{Output: $\mathcal{Y} = \{Y_0, Y_1, \ldots, Y_{l-1}\}$ and $\mathcal{P} = \{p_0, p_1, \ldots, p_{l-2}\}$;}\\ \textbf{begin}\\ G' := $G$; $T' := $T$; $\mathcal{X}' := $\mathcal{X}$; $t := $r$; $h := \left\lceil \log |V(G)| \right\rceil$; $i = 0$;}\\ \textbf{while } |V(G')| \geq 2h + 1$ do begin\\ \textbf{while } |\Psi_{T'}(t)| \geq 2h + 1$ and $\rho_T(t) \geq 2h + 1$ do begin\\ Select a child $s$ of $t$ such that <math>|\Psi_{T'}(s)| = \rho_{T'}(t)$; $t := $s$ end;\\ Find $S \subset child_{T'}(t)$ such that $h + 1 \leq |\bigcup_{s \in S} \Psi_{T'}(s)| \leq 2h$;}\\ Y_i := \bigcup_{s \in S} V(T'(s)); $p_i := t$;}\\ G' := $G' - \bigcup_{s \in S} \Psi_{T'}(s); $T' := $T' - Y_i$;}\\ \mathcal{X}' := \{X_t \in \mathcal{X} : t \in V(T')\}$; $i := $i + 1$ end;}\\ Y_i := V(T')\\ \textbf{end} \end{array}
```

Fig. 1. Algorithm 1.

**Proof.** We recursively define a sequence of vertices in *T* as follows: (1)  $t_1 = r$ ; (2) If  $t_i$  is not a leaf in *T* then  $t_{i+1}$  is a vertex  $s \in \operatorname{child}_T(t_i)$  such that  $|\Psi_T(s)| = \rho_T(t_i)$ . Notice that  $|\Psi_T(t_i)| \ge |\Psi_T(t_{i+1})|$  for any *i*,  $|\Psi_T(t_1)| = |\Psi_T(r)| = |V(G)| \ge 2h + 1$ , and if  $t_i$  is a leaf of *T* then  $|\Psi_T(t_i)| \le k + 1 \le h$ . Thus, there exists a positive integer *j* such that  $|\Psi_T(t_j)| \ge 2h + 1$  and  $|\Psi_T(t_{j+1})| \le 2h$ . Since  $\rho_T(t_j) = |\Psi_T(t_{j+1})| \le 2h$ , it follows from Lemma 10 that there exists some  $S \subseteq \operatorname{child}_T(t_j)$  such that  $h + 1 \le |\bigcup_{s \in S} |\Psi_T(s)| \le 2h$ .  $\Box$ 

Now, we are ready to prove a key lemma.

**Lemma 12.** There exists a partition  $\mathcal{Y} = \{Y_0, Y_1, \dots, Y_{l-1}\}$  of V(T) that satisfies the following four conditions:

- (1)  $l = O(N/\log N);$
- (2) For any  $i \in [l-1]$ , there exists a vertex  $p_i \in V(T)$  such that the parent of each vertex of  $Y_i$  is contained in  $Y_i \cup \{p_i\}$ ;
- (3)  $r \in Y_{l-1}$ , and the parent of each vertex of  $Y_{l-1} \{r\}$  is contained in  $Y_{l-1}$ .
- (4)  $\mathscr{V} = \{\bigcup_{t \in Y_i} X_t X_{p_i}: i \in [l-1]\} \cup \{\bigcup_{t \in Y_{l-1}} X_t\}$  is a partition of V(G) such that the size of each block is  $O(\log N)$ .

**Proof.** We define  $\mathscr{Y} = \{Y_0, Y_1, \dots, Y_{l-1}\}$  as the output of Algorithm 1 shown in Fig. 1.It should be noted that the algorithm is based on the previous lemmas. We show that  $\mathscr{Y}$  is a desired partition by a series of claims. The first four claims are rather obvious.

**Claim 1.**  $\mathcal{Y} = \{Y_0, Y_1, \dots, Y_{l-1}\}$  is a partition of V(T).

**Claim 2.**  $l = O(N/\log N)$ .

**Claim 3.** The parent of each vertex of  $Y_i$  is contained in  $Y_i \cup \{p_i\}$ .  $(i \in [l-1])$ .

**Claim 4.**  $r \in Y_{l-1}$ , and the parent of each vertex of  $Y_{l-1} - \{r\}$  is contained in  $Y_{l-1}$ .

**Claim 5.** Let  $0 \le i < j \le l-1$ . For any  $s \in Y_i$  and  $t \in Y_j$ ,  $p_i$  is on the path between *s* and *t* in *T*.

**Proof.** Assume contrary that  $p_i$  is not on the path between s and t in T. Then, there exists a child s' of  $p_i$  such that s,  $t \in V(T(s'))$  since s is a descendant of  $p_i$ . Since  $s' \in Y_i$ , we conclude that  $j \leq i$ , a contradiction.  $\Box$ 

Claim 6.

$$\mathscr{V} = \left\{ \bigcup_{t \in Y_i} X_t - X_{p_i} : i \in [l-1] \right\} \cup \left\{ \bigcup_{t \in Y_{l-1}} X_t \right\}$$

is a partition of V(G) such that the size of each block is  $O(\log N)$ .

Proof. Let

$$V_{i} = \begin{cases} \bigcup_{t \in Y_{i}} X_{t} - X_{p_{i}} & \text{if } i \in [l-1], \text{ and} \\ \\ \bigcup_{t \in Y_{l-1}} X_{t} & \text{if } i = l-1. \end{cases}$$

Assume contrary that  $(V_0, V_1, \ldots, V_{l-1})$  is not a partition of V(G). Since  $\bigcup_{i \in [l]} V_i = V(G)$ ,  $V_i \cap V_j \neq \emptyset$  for some distinct integers *i* and *j*. Assume without loss of generality that i < j. Then, there exists a vertex  $v \in V(G)$  such that  $v \in X_s - X_{p_i}$  for some  $s \in Y_i$ , and  $v \in X_t$  for some  $t \in Y_j$ . Since i < j,  $p_i$  is on the path between *s* and *t* in *T* by Claim 5. It follows from the definition of tree decomposition that  $v \in X_{p_i}$ , a contradiction. Thus,  $\mathscr{V}$  is a partition of V(G). Moreover,  $|V_i| \leq 2h = 2\lceil \log N \rceil$  for any  $i \in [l]$  by Lemma 11.  $\Box$ 

This completes the proof of Lemma 12.  $\Box$ 

**Theorem 9.** A partial k-tree G with N vertices has an RFT graph with  $O(|E(G)| \log N)$  edges.

**Proof.** Consider a partition  $\mathscr{V}$  of V(G) defined in Lemma 12. For any  $i \in [l-1]$ ,  $\Gamma(i) = \{j > i:$  there exist  $u \in V_i$  and  $v \in V_j$  such that  $(u, v) \in E(G)\}$ . If  $j \in \Gamma(i)$ , there exists an edge  $(u, v) \in E(G)$  such that  $u \in X_s - X_{p_i}$  for some  $s \in Y_i$ , and  $v \in X_t$  for some  $t \in Y_j$ . From the definition of the tree decomposition, there exists some t' such that  $u, v \in X_{t'}$ . Since  $u \notin X_{p_i}$ , there exists a child s' of  $p_i$  such that  $s, t' \in V(T(s'))$ , and thus  $s' \in Y_i$ . Since  $s' \in Y_i$ ,  $t \in Y_j$ , and i < j,  $p_i$  is on the path between s' and t by Claim 5. Notice that s' is on the path between  $p_i$  and t'. Thus,  $p_i$  is on the path between t and t' in T. Since  $v \in X_t \cap X_{t'}, v \in X_{p_i}$  by the definition of tree decomposition. Thus we conclude

that  $|\Gamma(i)| \leq |X_{p_i}| \leq k+1$ . It follows that

$$\lambda(G, \mathscr{V}) \leq l + \sum_{i \in [l-1]} |\Gamma(i)| \leq l + \sum_{i \in [l-1]} (k+1)$$
$$= l + (l-1)(k+1) = O\left(\frac{N}{\log N}\right).$$

Hence,  $G^*[\mathscr{V}]$  is an RFT graph for G with  $O(|E(G)| \log N)$  edges by Theorem 1.  $\Box$ 

It should be noted that Theorem 9 is a natural generalization of a result for trees shown in [5], since trees are partial 1-trees.

We conclude with a remark on an open problem posed in [5]:

**Problem.** Does every graph with N vertices and M edges have an RFT graph with O(N) vertices and  $O(M \log N)$  edges?

The answer to the problem is affirmative if every graph G has a partition  $\mathscr{V} = (V_0, V_1, \dots, V_{k-1})$  of V(G) such that:

- $|V_i| = O(\log N)$  for  $\forall i$ ,
- $k = O(N/\log N)$ , and
- $\lambda(G, \mathscr{V}) = O(M/\log N).$

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