

## PAPER

## On Fault Testing for Reversible Circuits

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**SUMMARY** It has been known that testing of reversible circuits is relatively easier than conventional irreversible circuits in the sense that few test vectors are needed to cover all stuck-at faults. This paper shows, however, that it is NP-hard to generate a minimum complete test set for stuck-at faults on the wires of a reversible circuit using a polynomial time reduction from 3SAT to the problem. We also show non-trivial lower bounds for the size of a minimum complete test set.

**key words:** 3-SAT, CNOT gate, complete test set, fault testing, NP-complete, reversible circuit, stuck-at fault, test vector

## 1. Introduction

The power consumption and heat dissipation are major issues for VLSI circuits today. Landauer [3] showed that conventional irreversible circuits necessarily dissipate heat due to the erasure of information. Bennett [1] showed, however, that heat dissipation can be avoided if computation is carried out without losing any information. This motivates the study of reversible circuits. Furthermore, reversible circuits have potential applications in nanocomputing [4], digital signal processing [7], and quantum computing [5].

In order to ensure the functionality and durability of reversible circuits, testing and failure analysis are extremely important during and after the design and manufacturing. It has been known that testing of reversible circuits is relatively easier than conventional irreversible circuits, as reviewed below. This paper shows, however, that given a reversible circuit  $C$ , it is NP-hard to generate a minimum complete test set for stuck-at faults, which fix the values of wires in  $C$  to either 0 or 1. This is the first result on the complexity of fault testing for reversible circuits, as far as the authors know. We also show non-trivial lower bounds for the size of a minimum complete test set.

A gate is *reversible* if the Boolean function it computes is bijective. If a reversible gate has  $k$  input and output wires, it is called a  $k \times k$  gate. A circuit is *reversible* if all gates are reversible and are interconnected without fanout or feedback. If a reversible circuit has  $n$  input and output wires, it is called an  $n \times n$  circuit.

We focus our attention on detecting faults in a reversible circuit  $C$  which cause wires to be stuck-at-0 or stuck-at-1. Let  $W(C)$  be the set of all wires of  $C$ .  $W(C)$

consists of all output wires of  $C$  and input wires to the gates in  $C$ .  $W(C)$  is the set of all possible fault locations in  $C$ . For an  $n \times n$  reversible circuit  $C$ , a test is an input vector in  $\{0, 1\}^n$ . A test set is said to be *complete* for  $C$  if it can detect all possible single and multiple stuck-at faults on  $W(C)$ . Patel, Hayes, and Markov [6] showed that for any reversible circuit  $C$ , there exists a complete test set for  $C$ . Let  $\tau(C)$  be the minimum cardinality of a complete test set for  $C$ .

We first show that it is NP-hard to compute  $\tau(C)$  for a given reversible circuit  $C$ . Let MTS (Minimum Test Size) be a problem of deciding if  $\tau(C) \leq B$  for a given reversible circuit  $C$  and integer  $B$ . We show in Sect. 3 that MTS is NP-complete.

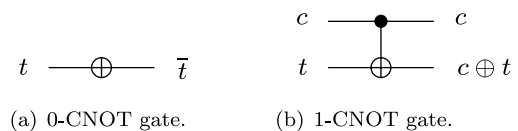
Patel, Hayes, and Markov [6] showed a general upper bound for  $\tau(C)$  as follows. They showed that

$$\tau(C) = O(\log |W(C)|) \quad (1)$$

for any reversible circuit  $C$ . We show the first non-trivial existential lower bound for  $\tau(C)$ . We show in Sect. 4 that there exists a reversible circuit  $C$  such that

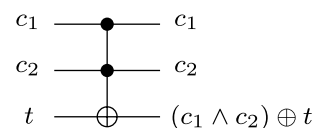
$$\tau(C) = \Omega(\log \log |W(C)|). \quad (2)$$

A  $k$ -CNOT gate is a  $(k+1) \times (k+1)$  reversible gate. It passes some  $k$  inputs, referred to as control bits, to the outputs unchanged, and inverts the remaining input, referred to as target bit, if the control bits are all 1. The 0-CNOT gate is just an ordinary NOT gate. A CNOT gate is a  $k$ -CNOT gate for some  $k$ . Some CNOT gates are shown in Fig. 1, where a control bit and target bit are denoted by a black dot and ring-sum, respectively. A *CNOT circuit* is a reversible circuit consisting of only CNOT gates. A *k-CNOT circuit* is a CNOT circuit consisting of only  $k$ -CNOT gates. Any



(a) 0-CNOT gate.

(b) 1-CNOT gate.



(c) 2-CNOT gate.

**Fig. 1** CNOT gates.

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Boolean function can be implemented by a CNOT circuit since the 2-CNOT gate can implement the NAND function.

Chakraborty [2] showed that

$$\tau(C) \leq n \quad (3)$$

if  $C$  is an  $n \times n$  CNOT circuit with no 0-CNOT or 1-CNOT gate. We show in Sect. 5 that there exists an  $n \times n$  2-CNOT circuit  $C$  such that

$$\tau(C) = \Omega(\log n). \quad (4)$$

It is an interesting open problem to close the gap between the upper bound (1) and our lower bound (2), and the gap between the upper bound (3) and our lower bound (4).

## 2. Complete Test Sets

A wire  $w$  of a reversible circuit  $C$  is said to be *controllable* by a test set  $T$  if the value of  $w$  can be set to both 0 and 1 by  $T$ . A set of wires  $S \subseteq W(C)$  is said to be *controllable* by  $T$  if each wire of  $S$  is controllable by  $T$ . The following characterization for a complete test set is shown in [6].

**Theorem I:** A test set  $T$  for a reversible circuit  $C$  is complete if and only if  $W(C)$  is controllable by  $T$ . ■

## 3. NP-Completeness of MTS

The purpose of this section is to prove the following:

**Theorem 1:** MTS is NP-complete.

*Proof.* A minimum complete test set  $T$  for a reversible circuit  $C$  can be verified in polynomial time, since  $|T| = O(\log |W(C)|)$  by (1). Thus MTS is in NP.

We show a polynomial time reduction from 3SAT, a well-known NP-complete problem, to MTS. Let  $\mathbf{x} = (x_1, x_2, \dots, x_n)$  and

$$\phi(\mathbf{x}) = \bigwedge_{j=1}^m \rho_j$$

be a Boolean function in conjunctive normal form in which each clause  $\rho_j$  has 3 literals for  $j \in [m] = \{1, 2, \dots, m\}$ . For a Boolean variable  $x$ , literals  $\bar{x}$  and  $x$  are denoted by  $x^0$  and  $x^1$ , respectively.

We use generalized CNOT gates for simplicity. A *generalized  $k$ -CNOT gate* has  $k$  control bits  $x_1, x_2, \dots, x_k$  and a target bit  $t$ . The output of the target bit is defined as

$$(x_1^{\alpha_1} \wedge x_2^{\alpha_2} \wedge \dots \wedge x_k^{\alpha_k}) \oplus t.$$

A control bit  $x_i$  is said to be *positive* if  $\alpha_i = 1$ , and *negative* if  $\alpha_i = 0$ . Notice that a CNOT gate is a generalized CNOT gate with no negative control bit. Notice also that a negative control bit is equivalent to a positive control bit with a 0-CNOT gate on the input and output wires. A *generalized CNOT [ $k$ -CNOT] circuit* is a reversible circuit consisting of only generalized CNOT [ $k$ -CNOT] gates.

We first construct a generalized CNOT gate  $G_j$  for each clause  $\rho_j$ . Let

$$\rho_j = x_{j1}^{\sigma_{j1}} \vee x_{j2}^{\sigma_{j2}} \vee x_{j3}^{\sigma_{j3}},$$

where  $\sigma_{jl} \in \{0, 1\}$  and  $x_{jl} \in \{x_i | i \in [n]\}$  for  $l \in [3]$ . We construct a generalized 3-CNOT gate  $G_j$  for  $\rho_j$  as follows. The gate  $G_j$  has 3 control bits  $x_{j1}, x_{j2}, x_{j3}$ , and a target bit  $t$ . A control bit  $x_{jl}$  is defined to be positive if  $\sigma_{jl} = 0$ , and negative if  $\sigma_{jl} = 1$ . For an  $n \times n$  circuit  $C$  and an input vector  $\mathbf{v} \in \{0, 1\}^n$ , we denote by  $C(\mathbf{v})$  the output vector of  $C$  for  $\mathbf{v}$ . The following lemma is immediate from the definition of  $G_j$ .

**Lemma 1:**  $G_j(x_{j1}, x_{j2}, x_{j3}, t) = (x_{j1}, x_{j2}, x_{j3}, \overline{\rho_j} \oplus t)$ . ■

Lemma 1 means that  $G_j$  changes the target bit  $t$  for input vector  $(x_{j1}, x_{j2}, x_{j3}, t)$  if and only if  $\rho_j(x_{j1}, x_{j2}, x_{j3}) = 0$ . As an example, for a Boolean function:

$$\left. \begin{aligned} \psi(x_1, x_2, x_3) &= \rho_1 \wedge \rho_2, \\ \rho_1 &= x_1 \vee \overline{x_2} \vee x_3, \text{ and} \\ \rho_2 &= \overline{x_1} \vee \overline{x_2} \vee x_3, \end{aligned} \right\} \quad (5)$$

generalized 3-CNOT gates  $G_1$  and  $G_2$  are shown in Fig. 2 (a) and (b), respectively, where a negative control bit is denoted by an empty circle.

We next construct a  $(2n+1) \times (2n+1)$  generalized 6-CNOT circuit  $C(\phi)$  for  $\phi$ . For  $\mathbf{x} = (x_1, x_2, \dots, x_n)$ ,  $\mathbf{y} = (y_1, y_2, \dots, y_n) \in \{0, 1\}^n$ , and  $t \in \{0, 1\}$ , let

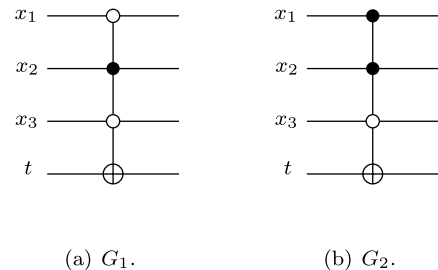
$$\bar{\mathbf{x}} = (\overline{x_1}, \overline{x_2}, \dots, \overline{x_n}) \text{ and}$$

$$(\mathbf{x}, \mathbf{y}, t) = (x_1, x_2, \dots, x_n, y_1, y_2, \dots, y_n, t).$$

Let  $G'_j$  be a copy of  $G_j$  with control bits  $x'_{j1}, x'_{j2}, x'_{j3}$ , and a target bit  $t$  for any  $j \in [m]$ . For any  $j, h \in [m]$ ,  $G_{jh}$  is a generalized 6-CNOT gate with control bits  $x_{j1}, x_{j2}, x_{j3}, x'_{h1}, x'_{h2}, x'_{h3}$ , and a target bit  $t$ . A control bit  $x_{jl}[x'_{hl}]$  is positive in  $G_{jh}$  if and only if  $x_{jl}[x'_{hl}]$  is positive in  $G_j[G'_h]$ . We construct a  $(2n+1) \times (2n+1)$  generalized 6-CNOT circuit  $C(\phi)$  which is a cascade consisting of  $m^2$  gates  $G_{jh}$  ( $j, h \in [m]$ ). As an example,  $C(\psi)$  for the Boolean function  $\psi$  defined in (5) is shown in Fig. 3. We have the following by Lemma 1.

**Lemma 2:**  $G_{jh}((\mathbf{x}, \mathbf{x}', t)) = (\mathbf{x}, \mathbf{x}', (\overline{\rho_j(\mathbf{x})} \wedge \overline{\rho_h(\mathbf{x}')}) \oplus t)$ . ■

Lemma 2 implies that  $G_{jh}$  changes the target bit if and only



**Fig. 2** Generalized 3-CNOT gates  $G_1$  and  $G_2$ .

if  $\rho_j(\mathbf{x}) = 0$  and  $\rho_h(\mathbf{x}') = 0$ .

We now show that  $\phi$  is satisfiable if and only if  $\tau(C(\phi)) \leq 2$ . For a gate  $G$  of  $C$ ,  $G[\mathbf{v}]$  is the output vector of  $G$  generated by an input vector  $\mathbf{v}$  of  $C$ . Also,  $w[\mathbf{v}]$  is the value of a wire  $w$  in  $C$  generated by  $\mathbf{v}$ .

**Lemma 3:** A test set  $T = \{\mathbf{v}_1, \mathbf{v}_2\}$  of a generalized CNOT circuit  $C$  with no 0-CNOT gate is complete if and only if  $T$  satisfies the following conditions:

- (i)  $\mathbf{v}_2 = \overline{\mathbf{v}_1}$ , and
- (ii)  $G[\mathbf{v}_i] = \mathbf{v}_i$  ( $i \in [2]$ ) for every gate  $G$  of  $C$ .

*Proof.* It is easy to see that if  $T$  satisfies (i) and (ii), then  $W(C)$  is controllable by  $T$ . Thus  $T$  is complete for  $C$  by Theorem I.

Suppose  $T$  is complete for  $C$ . Then  $W(C)$  is controllable by  $T$  by Theorem I. Since the input wires of  $C$  are controllable by  $T$ , we have  $\mathbf{v}_2 = \overline{\mathbf{v}_1}$ . Thus,  $T$  satisfies (i). Suppose  $T$  does not satisfy (ii), that is  $G[\mathbf{v}_i] \neq \mathbf{v}_i$  for some generalized  $k$ -CNOT gate  $G$  and some  $i$ , say  $i = 1$ . That is, if  $w_{ti}$  and  $w_{to}$  are the input and output wires of the target bit of  $G$ , we have

$$w_{to}[\mathbf{v}_1] = \overline{w_{ti}[\mathbf{v}_1]}. \quad (6)$$

Since the input wires of  $G$  are controllable by  $T$ , we have

$$w_{ci}[\mathbf{v}_2] = \overline{w_{ci}[\mathbf{v}_1]} \quad (7)$$

for every input wire  $w_{ci}$  of  $G$ . Thus we conclude that

$$w_{ti}[\mathbf{v}_2] = \overline{w_{ti}[\mathbf{v}_1]}. \quad (8)$$

By (6), (7), and  $k \geq 1$ , there exists an input wire  $w_{ci}$  of control bit of  $G$  such that  $w_{ci}[\mathbf{v}_2] = 1$  if  $w_{ci}$  is a negative control bit, and  $w_{ci}[\mathbf{v}_2] = 0$  otherwise. This implies that

$$w_{to}[\mathbf{v}_2] = w_{ti}[\mathbf{v}_2]. \quad (9)$$

By (6), (8), and (9), we have

$$w_{to}[\mathbf{v}_1] = w_{to}[\mathbf{v}_2],$$

which means that  $w_{to}$  is not controllable by  $T$ , a contradiction. Thus  $T$  satisfies (ii). ■

Now, we are ready to prove the following.

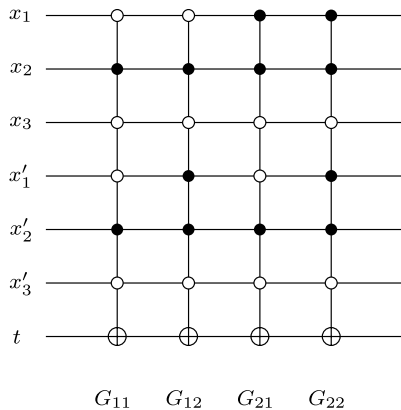


Fig. 3 Generalized 6-CNOT circuit  $C(\psi)$ .

**Lemma 4:**  $\phi$  is satisfiable if and only if  $\tau(C(\phi)) \leq 2$ .

*Proof.* It is easy to see from Lemmas 2 and 3 that if  $\phi(\mathbf{x}) = 1$  for some  $\mathbf{x} \in \{0, 1\}^n$ , then a test set  $\{(\mathbf{x}, \overline{\mathbf{x}}, 0), (\overline{\mathbf{x}}, \mathbf{x}, 1)\}$  is complete for  $C(\phi)$ . Thus,  $\tau(C(\phi)) \leq 2$ .

Notice that  $\tau(C) \geq 2$  for any reversible circuit  $C$  by Theorem I. Suppose  $\tau(C(\phi)) = 2$ , and let  $T$  be a complete test set of size two. By Lemma 3,  $T = \{(\mathbf{x}, \mathbf{y}, 0), (\overline{\mathbf{x}}, \overline{\mathbf{y}}, 1)\}$  for some  $\mathbf{x}, \mathbf{y} \in \{0, 1\}^n$ . Also by Lemma 3,  $G_{jh}[(\mathbf{x}, \mathbf{y}, 0)] = (\mathbf{x}, \mathbf{y}, 0)$  and  $G_{jh}[(\overline{\mathbf{x}}, \overline{\mathbf{y}}, 1)] = (\overline{\mathbf{x}}, \overline{\mathbf{y}}, 1)$  for any  $j, h \in [m]$ . Thus by Lemma 2,

$$\overline{\rho_j(\mathbf{x})} \wedge \overline{\rho_h(\mathbf{y})} = 0 \text{ and } \overline{\rho_j(\overline{\mathbf{x}})} \wedge \overline{\rho_h(\overline{\mathbf{y}})} = 0$$

for any  $j, h \in [m]$ , that is,

$$\rho_j(\mathbf{x}) \vee \rho_h(\mathbf{y}) = 1 \text{ and } \rho_j(\overline{\mathbf{x}}) \vee \rho_h(\overline{\mathbf{y}}) = 1$$

for any  $j, h \in [m]$ . If  $\rho_j(\mathbf{x}) = 1$  for any  $j \in [m]$ , then  $\phi(\mathbf{x}) = 1$ , and  $\phi$  is satisfiable. If  $\rho_j(\mathbf{x}) = 0$  for some  $j \in [m]$ , then  $\rho_h(\mathbf{y}) = 1$  for any  $h \in [m]$ . Thus  $\phi(\mathbf{y}) = 1$ , and  $\phi$  is satisfiable. ■

Since  $C(\phi)$  can be constructed in polynomial time, we complete the proof of Theorem 1. ■

#### 4. Lower Bounds for 1-CNOT Circuits

The purpose of this section is to prove the following:

**Theorem 2:** There exists a 1-CNOT circuit  $C$  such that

$$\tau(C) = \Omega(\log \log |W(C)|). \quad \blacksquare$$

Before proving the theorem, we need some preliminaries.

##### 4.1 Preliminaries

The level of a wire of a reversible circuit is defined as follows. The input wires of the circuit are at level 0, and the output wires of a gate are at one plus the highest level of any of input wires of the gate. In cases where an input wire of a gate is at level  $i$  and the output wires are at level  $j > i + 1$ , we say the input wire is at all levels between  $i$  and  $j - 1$  inclusively.

It is easy to see the following lemmas.

**Lemma 5:** If  $C_3$  is a reversible  $2 \times 2$  circuit consisting of just one 1-CNOT gate, then  $\tau(C_3) = 3$ . ■

**Lemma 6:** If  $B$  is a  $2 \times 2$  1-CNOT circuit shown in Fig. 4, then  $B(\mathbf{v}) = \mathbf{v}$  for any  $\mathbf{v} \in \{0, 1\}^2$ . ■

**Lemma 7:** If  $C$  is an  $n \times n$  1-CNOT circuit with  $g$  gates, then  $|W(C)| = n + 2g$ . ■

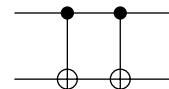


Fig. 4  $2 \times 2$  1-CNOT circuit  $B$ .

## 4.2 Proof of Theorem 2

We prove the theorem by constructing such circuits. Let  $C_h$  ( $h \geq 3$ ) be a 1-CNOT circuit defined as follows. Let  $C_3$  be a 1-CNOT circuit consisting of just one 1-CNOT gate. For  $h \geq 4$ ,  $C_h$  is recursively defined as follows. Let  $C_{h-1}^{(0)}, C_{h-1}^{(1)}, \dots, C_{h-1}^{(\varpi_{h-1})}$  be  $\varpi_{h-1} + 1$  copies of  $C_{h-1}$ , where  $\varpi_{h-1} = |W(C_{h-1})|$ . Construct an  $n_{h-1} \times n_{h-1}$  1-CNOT circuit  $D_{h-1}$  by concatenating  $C_{h-1}^{(1)}, C_{h-1}^{(2)}, \dots, C_{h-1}^{(\varpi_{h-1})}$ , where  $n_{h-1}$  is the number of input wires of  $C_{h-1}$ . Let

$$W(C_{h-1}^{(k)}) = \{w_1^{(k)}, w_2^{(k)}, \dots, w_{\varpi_{h-1}}^{(k)}\}$$

for  $0 \leq k \leq \varpi_{h-1}$  such that if the level of  $w_i^{(k)}$  is not greater than the level of  $w_j^{(k)}$ , then  $i \leq j$ .  $C_h$  is constructed from  $D_{h-1}$  and  $C_{h-1}^{(0)}$  by inserting a copy of 1-CNOT circuit  $B$  shown in Fig. 4 for each wire of  $C_{h-1}^{(i)}$ ,  $i \in [\varpi_{h-1}]$ , such that the wire of  $C_{h-1}^{(i)}$  is the control bit and  $w_i^{(0)}$  is the target bit of the 1-CNOT gates. As an example,  $D_3$  and  $C_4$  are shown in Fig. 5 and Fig. 6, respectively.

Let  $g_h$  be the number of gates in  $C_h$ . From the definition of  $C_h$ , we have

$$n_h = 2^{h-2} \quad (10)$$

for  $h \geq 3$ . We also have

$$\begin{aligned} g_h &= (\varpi_{h-1} + 1)g_{h-1} + 2\varpi_{h-1}^2 \\ &= (n_{h-1} + 2g_{h-1} + 1)g_{h-1} + 2(n_{h-1} + 2g_{h-1})^2 \end{aligned} \quad (11)$$

$$= 10g_{h-1}^2 + g_{h-1}(9n_{h-1} + 1) + 2n_{h-1}^2 \quad (12)$$

for  $h \geq 4$ , where (11) follows from Lemma 7. Since each input wire of  $C_h$  is an input wire of a gate, and every 1-CNOT gate has two input wires, we have

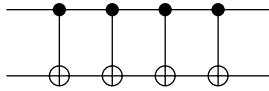


Fig. 5 1-CNOT circuit  $D_3$ .

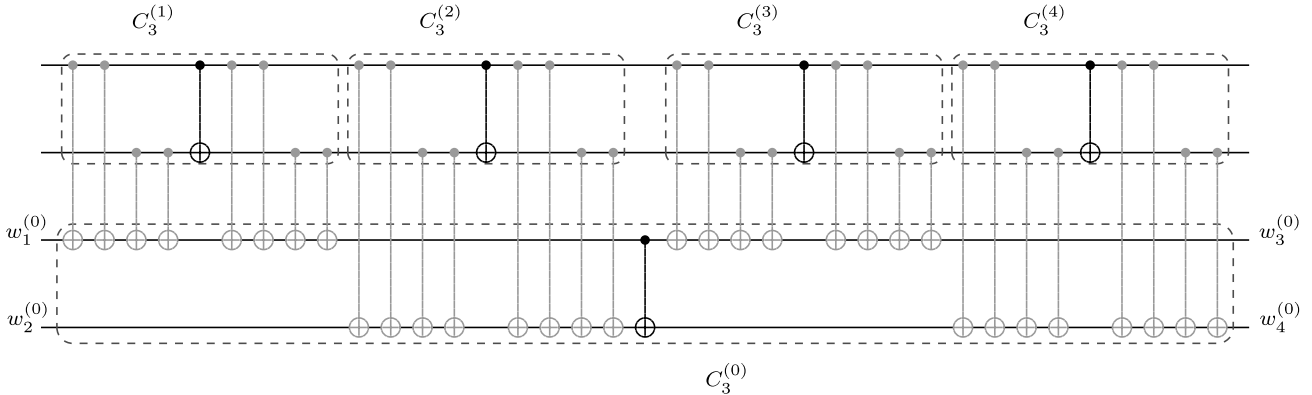


Fig. 6  $4 \times 4$  1-CNOT circuit  $C_4$ .

$$n_h \leq 2g_h \quad (13)$$

for  $h \geq 3$ .

**Lemma 8:**  $h = \Omega(\log \log |W(C_h)|)$ .

*Proof.* By (12) and (13), we have

$$\begin{aligned} g_h &= 10g_{h-1}^2 + g_{h-1}(9n_{h-1} + 1) + 2n_{h-1}^2 \\ &\leq 36g_{h-1}^2 + g_{h-1} \\ &\leq 37g_{h-1}^2. \end{aligned}$$

It follows that  $37g_h \leq (37g_{h-1})^2$ , and so

$$\begin{aligned} \log g_h + \log 37 &\leq 2(\log g_{h-1} + \log 37) \\ &\leq 2^{h-3}(\log g_3 + \log 37) \\ &\leq 2^{h-3} \log 37 \end{aligned}$$

since  $g_3 = 1$ . Thus, we have

$$\log g_h \leq 2^{h-3} \log 37. \quad (14)$$

By Lemma 7 and (13), we have

$$\begin{aligned} \varpi_h &= n_h + 2g_h \\ &\leq 4g_h \end{aligned}$$

for  $h \geq 4$ , and so

$$\begin{aligned} \log \log \varpi_h &\leq \log \log g_h + 1 \\ &\leq h - 3 + \log \log 37 + 1 \end{aligned}$$

by (14). Thus we conclude that

$$h = \Omega(\log \log \varpi_h).$$

■

**Lemma 9:**  $\tau(C_h) \geq h$ .

*Proof.* The proof is by induction on  $h$ .  $\tau(C_3) = 3$  by Lemma 5. Suppose  $\tau(C_{h-1}) \geq h - 1$ . We will show that  $\tau(C_h) \geq h$ . Suppose contrary that  $\tau(C_h) = h - 1$ . Let

$$T = \{v_1, v_2, \dots, v_{h-1}\}$$

be a complete test set for  $C_h$ , and  $v_l = (v_l^{(1)}, v_l^{(2)})$  for

$v_l^{(1)}, v_l^{(2)} \in \{0, 1\}^{n_{h-1}}$  ( $l \in [h-1]$ ). Let

$$T' = \{v_1, v_2, \dots, v_{h-2}\}, \text{ and} \\ T'_k = \{v_1^{(k)}, v_2^{(k)}, \dots, v_{h-2}^{(k)}\}$$

for  $k \in [2]$ .

Since  $\tau(C_{h-1}) \geq h-1$  by the inductive hypothesis,  $W(C_{h-1})$  is not controllable by  $T'_k$ ,  $k \in [2]$ . Thus there exists  $i$  such that  $w_i^{(0)}$  in  $C_{h-1}^{(0)}$  is not controllable by  $T'_2$ . There also exists  $j$  such that  $w_j^{(i)}$  in  $D_{h-1}$  is not controllable by  $T'_1$ . Thus, we have

$$(w_j^{(i)}[v_l^{(1)}], w_i^{(0)}[v_l^{(2)}]) = (w_j^{(i)}[v_m^{(1)}], w_i^{(0)}[v_m^{(2)}]) \quad (15)$$

for any  $v_l, v_m \in T'$ .

Let  $G$  be the left 1-CNOT gate of a copy of  $B$  whose control bit is at  $w_j^{(i)}$  and target bit is at  $w_i^{(0)}$ ,  $w_c$  be the input wire of control bit of  $G$ , and  $w_t$  be the input wire of target bit of  $G$ . Then by Lemma 6,

$$(w_c[v_l], w_t[v_l]) = (w_j^{(i)}[v_l^{(1)}], w_i^{(0)}[v_l^{(2)}]) \quad (16)$$

for any  $v_l \in T'$ . By (15) and (16), we have

$$(w_c[v_l], w_t[v_l]) = (w_c[v_m], w_t[v_m]) \quad (17)$$

for any  $v_l, v_m \in T'$ . By Lemma 5 and (17), we conclude that  $W(G)$  is not controllable by  $T = T' \cup \{v_{h-1}\}$ , a contradiction. Thus, we have  $\tau(C_h) \geq h$ . ■

From Lemma 8 and 9, we obtain Theorem 2.

## 5. Lower Bounds for 2-CNOT Circuits

The purpose of this section is to prove the following.

**Theorem 3:** There exists an  $n \times n$  2-CNOT circuit  $C$  such that  $\tau(C) = \Omega(\log n)$ .

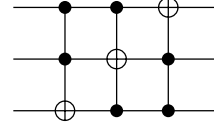
*Proof.* We need the following two lemmas, which can be easily seen.

**Lemma 10:** If  $E_3$  is a  $3 \times 3$  2-CNOT circuit shown in Fig. 7 (a), then  $\tau(E_3) = 3$ . ■

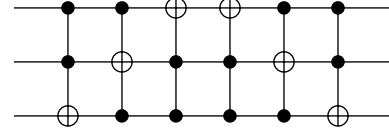
**Lemma 11:** If  $F$  is a  $3 \times 3$  2-CNOT circuit shown in Fig. 7 (b), then  $F(v) = v$  for any  $v \in \{0, 1\}^3$ . ■

We prove the theorem by constructing such circuits. Let  $E_h$  ( $h \geq 3$ ) be a 2-CNOT circuit defined as follows. Let  $E_3$  be a 2-CNOT circuit shown in Fig. 7 (a). For  $h \geq 4$ ,  $E_h$  is recursively defined as follows. Let  $E_{h-1}^{(i)}$  for  $0 \leq i \leq \varpi_{h-1}$  and  $E_{h-1}^{(j,k)}$  for  $j, k \in [\varpi_{h-1}]$  be copies of  $E_{h-1}$ , where  $\varpi_{h-1} = |W(E_{h-1})|$ . Construct an  $n_{h-1} \times n_{h-1}$  2-CNOT circuit  $H_{h-1}$  by concatenating  $E_{h-1}^{(1)}$ ,  $E_{h-1}^{(2)}, \dots, E_{h-1}^{(\varpi_{h-1})}$ , and construct an  $n_{h-1} \times n_{h-1}$  2-CNOT circuit  $J_{h-1}$  by concatenating  $E_1^{(1,1)}, E_1^{(1,2)}, \dots, E_1^{(1,\varpi_{h-1})}, E_2^{(2,1)}, E_2^{(2,2)}, \dots, E_2^{(2,\varpi_{h-1})}, \dots, E_{\varpi_{h-1}}^{(\varpi_{h-1}, \varpi_{h-1})}$ , where  $n_{h-1}$  is the number of input wires of  $E_{h-1}$ . Let

$$W(E_{h-1}^{(i)}) = \{w_1^{(i)}, w_2^{(i)}, \dots, w_{\varpi_{h-1}}^{(i)}\} \text{ and} \\ W(E_{h-1}^{(j,k)}) = \{w_1^{(j,k)}, w_2^{(j,k)}, \dots, w_{\varpi_{h-1}}^{(j,k)}\}$$



(a)  $3 \times 3$  2-CNOT circuit  $E_3$ .



(b)  $3 \times 3$  2-CNOT circuit  $F$ .

**Fig. 7**  $3 \times 3$  2-CNOT circuits  $E_3$  and  $F$ .

such that if the level of  $w_i^{(*)}$  is not greater than the level of  $w_j^{(*)}$ , then  $i \leq j$ .  $E_h$  is constructed from  $J_{h-1}$ ,  $H_{h-1}$ , and  $E_{h-1}^{(0)}$  by inserting a copy of  $F$  for each wire  $w_k^{(i,j)}$  with  $i, j, k \in [\varpi_{h-1}]$  such that  $w_k^{(i,j)}$  of  $E_{h-1}^{(i,j)}$  in  $J_{h-1}$  is the top bit of the copy of  $F$ ,  $w_j^{(i)}$  of  $E_{h-1}^{(i)}$  in  $H_{h-1}$  is the middle bit of the copy of  $F$ , and  $w_i^{(0)}$  of  $E_{h-1}^{(0)}$  is the bottom bit of the copy of  $F$ .

From the definition of  $E_h$ , we have  $n_h = 3^{h-2}$ , and so the following.

**Lemma 12:**  $h = \Omega(\log n_h)$ . ■

The following lemma can be proved similarly to Lemma 9.

**Lemma 13:**  $\tau(E_h) \geq h$ .

*Proof.* The proof is by induction on  $h$ .  $\tau(E_3) = 3$  by Lemma 10. Suppose  $\tau(E_{h-1}) \geq h-1$ . We will show that  $\tau(E_h) \geq h$ . Suppose contrary that  $\tau(E_h) = h-1$ , and let

$$T = \{v_1, v_2, \dots, v_{h-1}\}$$

be a complete test set for  $E_h$ . Since  $\tau(E_{h-1}) \geq h-1$ ,  $W(E_{h-1})$  is not controllable by  $T' = \{v_1, v_2, \dots, v_{h-2}\}$ . Thus there exist  $i, j, k \in [\varpi_{h-1}]$  such that none of  $w_i^{(0)}$ ,  $w_j^{(i)}$ , and  $w_k^{(i,j)}$  is controllable by  $T'$ . So, by similar arguments to the proof of Lemma 9, we conclude that if  $F_{i,j,k}$  is a copy of  $F$  with the top bit on  $w_k^{(i,j)}$ , and  $E_{i,j,k}$  is a copy of  $E$  consisting of the left three gates of  $F_{i,j,k}$ , then  $W(E_{i,j,k})$  is not controllable by Lemmas 10 and 11, which is a contradiction. Thus, we have  $\tau(E_h) \geq h$ . ■

From Lemmas 12 and 13, we obtain Theorem 3. ■

## 6. Concluding Remarks

It should be noted that (1) is merely an existential upper bound. It is an interesting open problem to find a polynomial time algorithm to construct a complete test set of such size.

We can show that  $\tau(E_h) = \Omega(\log \log |W(E_h)|)$ , though the proof is rather complicated.

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